

HUMAN SERVICES COMMISSION AGENDA

**Wednesday, March 6, 2013
4:00 P.M.**

City Council Chamber, 200 Old Bernal Avenue

CALL TO ORDER

- Pledge of Allegiance
- Roll Call

AGENDA AMENDMENTS

MINUTES

1. Approve regular meeting minutes of February 6, 2013.

MEETING OPEN TO THE PUBLIC

2. Introductions/Awards/Recognitions
3. Public Comment from the audience regarding items not listed on the agenda. *Speakers are encouraged to limit comments to 3 minutes.*

MATTERS BEFORE THE COMMISSION

If necessary to assure completion of the following items, the Chairperson may establish time limits for the presentations by individual speakers.

4. Recommendation to Allocate Housing and Human Services Grant (HHSG) Funds for Fiscal Year 2013/14

COMMUNICATIONS

5. Housing Commission Minutes – January 17, 2013

COMMISSION REPORTS

- Senior Advisory Committee
- Valley Mental Health Committee
- Parks and Recreation Mater Plan Steering Committee
- Brief reports on any other meetings, conferences, and/or seminars attended by the Commission members
 - Alameda County Area Agency on Aging
 - Paratransit Advisory Committee
 - Senior Support Program of the Tri-Valley
 - Tri-Valley Housing Scholarship Program Committee

COMMISSION COMMENTS

STAFF COMMENTS

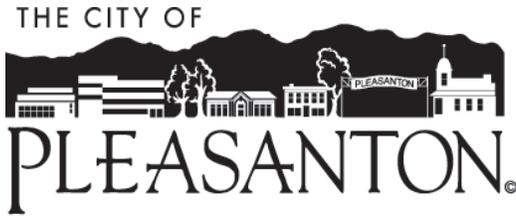
ADJOURNMENT

Notice

Under Government Code §54957.5, any writings/documents regarding an open session item on this agenda provided to a majority of the Commission after distribution of the agenda packet are available for public inspection at the Community Services Department, 200 Old Bernal Avenue, Pleasanton.

Accessible Public Meetings

The City of Pleasanton will provide special assistance for citizens with disabilities to participate in public meetings upon advance notice. If you need an auxiliary hearing aid or sign language assistance at least two working days advanced notice is necessary. Please contact the Community Services Department, PO Box 520, Pleasanton, CA 94566 or (925) 931-5340.



Human Services Commission Minutes

City Council Chambers, 200 Old Bernal Avenue, Pleasanton, CA
February 6, 2013 – 7:00 p.m.

CALL TO ORDER

Chairperson Roby called the meeting to order at 7:00 p.m. The Pledge of Allegiance to the flag was recited.

Roll Call

Commissioners Present: Prashant Jhanwar, Theresa Rowland, Don Wilson, Rosiland Wright, Varsha Clare; and Chairperson Brock Roby. **(David Nagler arrived at 7:06 p.m.)**

Commissioners Absent: Joyce Berger.

Staff Present: Kathleen Yurchak, Community Services Manager, Scott Erickson, Housing Specialist; and Edith Caponigro, Recording Secretary.

AGENDA AMENDMENTS

There were none.

MINUTES

1. Approve regular meeting minutes of December 5, 2012

Corrections:

Item 5, page 5, para.2: Delete: ...providing varied levels of care. ~~and would not fall into any of the categories discussed by the Commission.~~

Item 5, page 5, para.5: Change: ...with Ms. Brown the ~~fact~~ concern that once completed, ...

A motion was made by Commissioner Nagler, seconded by Commissioner Wright, to approve the minutes from the December 5, 2012 meeting. **The motion was approved.**

MEETING OPEN TO THE PUBLIC

2. Introductions/Awards/Recognitions/Presentations

Ms. Yurchak introduced newly appointed Commissioner, Varsha Clare, to the Commission. Commissioner Clare advised that she was a member of the Youth Master Plan Oversight Committee, the Red Cross Leadership Group, and a volunteer with the Fire Department.

a. Sue Compton, Executive Director of Axis Community Health – Update on new facility

Ms. Compton provided the Commission with an update on the status of the new 24,000 sq. ft. building that Axis has purchased in the Hacienda Business Park that will allow them to provide health services that are needed in the Tri-Valley. She advised that Axis will also continue to operate their existing Railroad Avenue site.

The Commission was advised that the new facility will have three (3) medical pods with 3-4 physicians in each pod, a total of 28 examining rooms, and an onsite pharmacy.

Axis is currently completing HUD regulations and requirements in preparation for going out to bid, and is busily looking for ways to raise funds to help pay for the project. A Capital Campaign is being initiated and a consultant will be used to do a Feasibility Study.

Commissioners discussed funding ideas with Ms. Compton and Mr. Erickson including how much could be borrowed through Section 108 funds. Ms. Compton advised that Axis would be searching for funds in many different ways, and were hoping that help would be available through Alameda County.

Commissioner Wright suggested offering donors naming rights for bricks, tiles, etc., since that had been extremely helpful when raising funds for the library. Commissioners agreed that raising funds through a Capital Campaign would be beneficial.

Chairperson Roby and Commissioner Nagler were informed by Ms. Compton that Axis would be invoicing for funds allocated to the project for FY 2012/13. Ms. Compton also provided information about how state and county budget cuts would affect the services provided by Axis.

Commissioner Wilson questioned whether the new facility would include plans for dental care. He referenced Lifelong in Oakland who does provide dental care. Ms. Compton advised there were no current plans to include this, because there is currently no way for Axis to receive reimbursement for providing such services.

Commissioner Wright suggested Commissioners personally give out information about the Capital Campaign for Axis.

3. Public comment from the audience regarding items not listed on the agenda

There were none.

MATTERS BEFORE THE COMMISSION

4. Review of FY 2012/13 Service Agreement Midterm Report

Ms. Yurchak advised the Commission that as mandated by the contract between the City and the Senior Support Program of the Tri-Valley, a Midterm Report is required to be presented to the Human Services Commission by Senior Support.

Marlene Petersen, Senior Support Executive Director – thanked the Commission for their support and provided detailed information about the services provided by the Senior Support Program. Ms. Petersen noted that once again project numbers have been exceeded, the Case Management program is a critical link between seniors in need and being able to connect them with available assistance within the community, and the Friendly Visiting program allows Senior Support to match volunteers to lonely and isolated seniors. Ms. Petersen provided information about some of the clients Senior Support has helped and the services that enable them to be self-supportive.

Ms. Petersen was unsure what was ahead for senior services with all of the anticipated budget cuts. She advised that she had a meeting scheduled with Kaiser Permanente and was hoping to be able to work with them. Ms. Petersen also provided information about older adult funds that would be coming to Alameda County and about an Endowment based fund.

For the benefit of new commission members, Chairperson Roby and Ms. Yurchak provided information about agencies that receive subsidy from the City of Pleasanton. It was suggested that Ms. Petersen provide additional information about the services provided by Senior Support at a later meeting.

Commissioner Clare had questions concerning the funding provided by the City of Pleasanton for the Agreement. Ms. Petersen noted that in addition to the funding the City also provides Senior Support with space and utilities at the Senior Center. She also discussed with Commissioner Clare the increases in volunteer and staff numbers to meet the increase in the number of seniors being served.

5. Review FY 2012/13 Housing and Human Services Grant (HHSG Semi-Annual Reports

Mr. Erickson and Ms. Yurchak reviewed with the Commission the FY 2012/13 Housing and Human Services Grant (HHSG) Semi-Annual Reports. Mr. Erickson noted that this report allows agencies an opportunity to provide an update on the status of their projects. He advised that all fifteen (15) of the agencies that had received funding had submitted their Semi-Annual Reports by the deadline. Of the \$609,187 in grant funds awarded for the 23 projects, invoices for \$275,019 have been submitted to date.

Commissioner Jhanwar was advised by Mr. Erickson that those agencies that had drawn down all of their funding would in fact be able to continue with their projects. He did not believe this should be a concern for Commissioners.

Commissioner Wright noted that information was provided in the report regarding the total of new Pleasanton clients served by the agencies, and felt this should be considered when new funding requests are being reviewed.

Chairperson Roby also commented on the Pleasanton Service Units information provided, and asked specifically about Neighborhood Solutions. Mr. Erickson discussed loan process requirements handled by this agency and the amount of time these take. He also advised Commissioners that numbers in the report for Eden Council had been switched.

Commissioner Jhanwar was informed by staff that contracts with the agencies did contain information about performance standards, but nothing that mandated they turn in invoices by a specific date

6. Discuss Evaluation Process and Meeting Format for FY 2013/14 Housing and Human Services Grant Program Review

Chairperson Roby discussed the ZoomGrant process and the deadline for Commissioners to complete their reviews. Commissioners Wilson and Wright noted that dental services do not apply to many of the grant applications.

Ms. Yurchak and Mr. Erickson reviewed with Commissioners the meeting format for the March 6 meeting at which FY 2013/14 Housing and Human Services Grants would be reviewed. Ms. Yurchak advised that staff was recommending to begin the meeting at 4:00 p.m. She asked Commissioners to review the list of applicants and advised staff which agencies they would like to present at the meeting, noting that typically new applicants are asked to present. Ms. Yurchak suggested the Commission may wish to ask agencies to present if they believe dental services apply. Chairperson Roby felt the Commission should receive presentations from agencies that are proposing dental. He suggested Mr. Erickson contact the agencies and inform them of the Commissions desire.

Mr. Erickson informed Commissioner Jhanwar that actual available funding numbers should be provided at the March meeting.

Commissioners agreed with the recommended 4:00 p.m. starting time for the March 6, 2013 meeting.

Commissioner Wright was advised by Ms. Yurchak that agencies typically apply for grants to meet the needs of the City from which they are requesting the grant.

Mr. Erickson discussed with Commissioner Nagler the level of funding for Neighborhood Solutions that fit within the goals of the City of Pleasanton. He also advised Commissioner Nagler that Tri-Valley YMCA typically applies for grants for new projects and once the program is established the YMCA is able to maintain the program themselves.

The Commission was asked by Mr. Erickson to notify him of the agencies they would like to present at the March meeting. Commissioner Rowland confirmed that Commissioners would be able to ask questions of representatives from other agencies.

Commissioner Nagler noted there was no need during this funding cycle to set aside funds for a "special project" because there had been a lack of interest by the agencies.

7. Approve and Recommend to City Council Commission Priorities for FY 2013/14 and 2014/15

Ms. Yurchak noted that at the December 5, 2012 meeting, the Commission had discussed projects, initiatives and policies that would better assist staff with long-term goal setting and the allocation of staff time. She advised that biannually City Council holds a Priority Setting Workshop where citywide initiatives and projects are discussed for implementation by appropriate departments during the two-year budget cycle. At the conclusion of discussions at their December 5, 2012 meeting the Commission made the following recommendations:

- Facilitation of Human Services Needs Assessment Strategic Plan
- Inclusion Policy and Implementation
- Support to Non-Profits: a) Workshops; b) Roundtable Sessions; c) Mental Health Forum; d) Special Projects, and e) Pocket Guide revisions/reprinting.
- Promotores de Salud: a) Community health liaison with the School District, and b) Using health agencies / Providing culturally competent outreach.

Commissioners discussed the recommendations and estimated costs with Ms. Yurchak. They questioned the possibility of receiving support from the City Manager for Facilitation of Human Services Needs Assessment Strategic Plan at a cost of \$20,000. It was also noted that the Inclusion Policy and Implementation project has already begun and has now been identified in the Parks and Recreation Master Plan.

A motion was made by Commissioner Nagler, seconded by Commissioner Jhanwar, to approve the following FY 2013/2014 Commission Priorities to be forwarded to City Council with a notation that the Facilitation of Human Services Needs Assessment Strategic Plan is their number one priority:

- Facilitation of Human Services Needs Assessment Strategic Plan
- Inclusion Policy and Implementation
- Support to Non-Profits
- Promotores de Salud

ROLL CALL VOTE:

AYES: Commissioners Jhanwar, Nagler, Rowland, Wilson, Wright, Varsha, and Chairperson Roby.

NOES: None
ABSENT: Commissioner Berger.
ABSTAIN: None

COMMUNICATIONS

8. Housing Commission Minutes – November 15, 2012

Reviewed.

COMMISSION REPORTS

Senior Advisory Committee - No report.

Valley Mental Health Committee - No report.

Parks and Recreation Master Plan Steering Committee - Ms. Yurchak provided an update regarding the survey results and the third Workshop held the end of January. A draft report is being prepared and the project should be finished either the end of March or early in April. Results will be forwarded to the Parks and Recreation Commission after which a joint meeting will be held by that Commission and City Council.

Brief reports on any other meetings, conferences, and/or seminars attended by the Commission members:

Alameda County Area Agency on Aging - none

Paratransit Advisory Committee - none

Senior Support Program of the Tri-Valley - none

Tri-Valley Housing Scholarship Program Committee - none

COMMISSION COMMENTS

Commissioner Wilson advised that he had attended a NAMI meeting in Livermore. Goal of that cities' mayor is to get mental health on the level of physical health. From this meeting Commissioner Wilson learned about Outback. He also noted that the Pleasanton Senior Center will be holding an Open House to celebrate 20-years of service on March 2 and 3, 2013.

STAFF COMMENTS

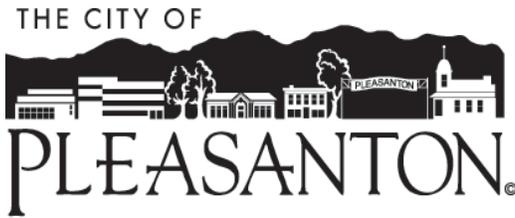
Ms. Yurchak provided information on the following:

1. Information regarding a regional project presentation to be emailed to Commissioners and Open Heart Kitchen.

2. A goal of the Youth Master Plan was to have more youth involved in Commissions and Committees within the City of Pleasanton. At their last meeting, City Council approved adding youth representatives to four Commissions. This will be a 2-year trial program and the youth members will be non-voting members.

ADJOURNMENT

There being no further business, the meeting was adjourned at 9:03 p.m.



Human Services Commission Agenda Report

March 6, 2013
Item 4

SUBJECT: RECOMMENDATION TO ALLOCATE HOUSING AND HUMAN SERVICES GRANT (HHSO) FUNDS FOR FISCAL YEAR 2013/14

SUMMARY

This report summarizes the 25 applications that were received for funding under the City's Housing and Human Services Grant (HHSO) program. The report provides an analysis of the applications and a recommended funding allocation. The Commission's recommendation is tentatively scheduled to be reviewed by the City Council (along with the recommendation from the Housing Commission regarding HOME and LIHF funds) on April 16, 2013. At that time, it is expected the Council will adopt a resolution approving the funding plan for the City's HHSO program (as well as youth and civic arts funding through the Community Grant program) for FY 2013/14. A finalized statement of federal funding will be submitted to HUD by the required deadline in early May. FY 2013/14 HHSO funds will be made available July 1, 2013.

RECOMMENDATION

Staff recommends that the Commission approve the funding allocation as detailed in "Alternative B" in Attachment 1 (with the exception of the HOME and LIHF funds which will be reviewed by the Housing Commission on March 21). This would result in the allocation of approximately \$215,000 in federal CDBG funds and \$160,000 in local General Funds to 23 individual projects.

FINANCIAL STATEMENT

The approval of City of Pleasanton HHSO funds for FY2013/14 will provide an estimated \$580,000 during the coming year for projects and services that primarily provide a direct benefit to low-income Pleasanton residents. Approximately \$315,000 will come from federal grants allocated to the City (CDBG and HOME) while approximately \$265,000 will come from local sources (General Fund and LIHF). Project funding will be included in the City's Operating Budget for FY 2013/14.

BACKGROUND

As the Commission is aware, the City implemented its new Housing and Human Services Grant (HHSG) program three years ago. The HHSG program combined funding from the Community Development Block Grant (CDBG) and HOME (HOME Investment Partnership Program) federal grants and two local sources (the Lower Income Housing Fund and General Funds allocated for human services) into a single streamlined grant program. The 2012/13 fiscal year will be the fourth year of the HHSG program.

HHSG applications for FY 2013 funding were solicited in early December through late January. Twenty-five (25) applications were received by the January 28 deadline with a total funding request of \$739,359. This is the third year in which applications were submitted electronically via the ZoomGrants system, and members of the Human Services Commission (HSC) were again able to review the applications on line. Consequently, no printed materials were distributed this year, and commissioners are reminded to print out and bring to the March 6 meeting any project-specific materials that are desired for review during the meeting.

DISCUSSION

Expected FY 2013/14 HHSG Funding Levels

The following table summarizes the anticipated composite level of funding for the HHSG program for the coming fiscal year:

Source	FY2012/13 Funding	FY2013/14 Funding	Notes
CDBG	\$192,187	\$215,000	At the time this report was written, HUD had not yet advised the City of its final CDBG allocation amount. Staff is assuming that the allocation for FY 2013/14 will remain approximately the same as last year (i.e., \$192,000 estimated) based on the most recent information available from Washington. In addition, there is about \$23,000 in unused capital/rehab funding from three prior projects that is available to be reallocated to FY 2013/14 projects. Therefore, the \$215,000 amount to the left includes \$179,000 for capital/rehab and \$36,000 for public services (15% maximum) but does not include the estimated \$48,000 for program administration (20% maximum). CDBG eligibility requirements are included in Attachment 5.
City Gen. Fund	\$160,000	\$160,000	Formerly City Grant funds reserved for human services; includes \$140,000 annual allocation plus \$20,000 diverted last year from the former Eden I&R "211" contract. Since the General Fund has not increased during the past year, this is considered to be the final amount.
Subtotal:	\$352,187	\$375,000	[Funding available for allocation by the Human Services Commission]
HOME	\$200,000	\$100,000	This is an estimate based on the level of supplementation from the HOME program in recent years. The City actually has about \$200,000 in unallocated HOME funds from prior fiscal years; however, the City's Housing Commission has expressed an interest in developing a sufficient pool to provide a meaningful amount of funding to a single housing project in the near future. HOME eligibility requirements are included in Attachment 5. The allocation of HOME funds is subject to review and recommendation by the Housing Commission on March 21.
LIHF	\$107,000	\$100,000	This is an estimate based on the level of supplementation from the LIHF to the CDBG program in recent years. The allocation of funds from the LIHF is subject to review and recommendation by the Housing Commission on March 21.
Total	\$659,187	\$575,000	

The total pool of HHSG funding for FY 2013/14 shown in the preceding table is approximately 15% lower than the actual FY 2012/13 funding level. However, this is mainly due to the “variability” of the HOME and LIHF allocations. The amount of HHSG funding available for allocation by the HSC actually increased by about 6.5% from last year due to the availability of unused CDBG funds from prior years.

The HSC’s recommendations will focus on the allocation of CDBG funds and City General Funds for human services. As shown in the two funding alternatives included in Attachment 1, staff’s recommendation would involve funding portions of Abode Services (rent subsidies), the Housing Rehabilitation Program, and several “housing services” through HOME and/or LIHF funds, both of which will be reviewed by the Housing Commission (HC) at its March 21 meeting. The HC’s recommendation will be forwarded directly to the City Council at the same time as the HSC’s recommendations regarding the HHSG and Community Grant program allocations.

The Council’s review is tentatively scheduled for Tuesday, April 16. The final action taken by the Council will be included when the City submits its annual "Action Plan" for Pleasanton’s federal grant funds for FY 2013/14 (due in May).

Summary of Attachments

Two (2) alternative staff recommendations for funding are included in Attachment 1 (Alternatives A and B). A summary of the applications received by the January 28 deadline is included in Attachment 2. The list includes the mandatory annual payment on the City’s Section 108 loan that was obtained in 2002 to acquire and rehabilitate the former Family Crisis Shelter now known as Sojourner House (this will be the last annual payment).

As noted earlier, applications were submitted electronically via the ZoomGrants system, and members of the Commission again used the online system to conduct their application review. A “scoring report” from ZoomGrants showing the composite rankings from the Commission’s review is included as Attachment 3. The scoring process within ZoomGrants was set up similar to an evaluation spreadsheet that the Commission used in past years. The scoring process is not intended to be the sole means of evaluating the applications but rather a framework for efficient evaluation tied to the criteria established for the HHSG program and specific priorities adopted by the HSC last November.

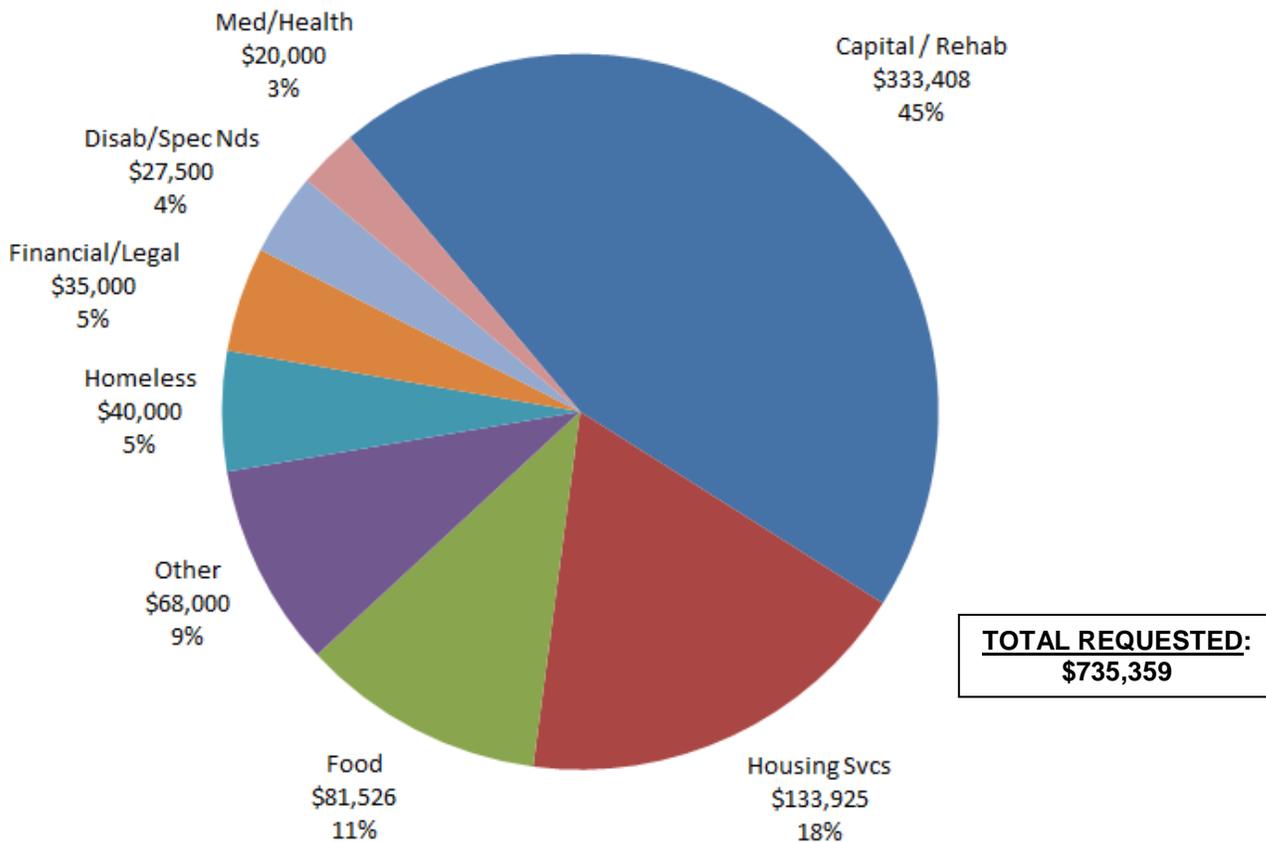
Attachment 4 provides a broader history of grant funding by the City of Pleasanton for the past three years. Attachment 5 includes a summary of the guidelines for the two federal programs (CDBG and HOME), while Attachment 6 includes the latest income limits by affordability level for the Alameda / Contra Costa area. Attachment 7 lists the applications received by the City of Livermore for its FY 2013/14 HHSG program (currently under review). The results of HUD’s most recent evaluation of the City’s CDBG program are included as Attachment 8. The criteria adopted by the HSC for review of FY 2013/14 HHSG applications are included as Attachment 9.

Staff Analysis of Applications

As in prior years, staff is pleased with the quality of applications received and the extent to which they demonstrate the broad scope of services provided to the community. A few summary observations can be made:

- Slightly more applications were received this year as compared to last year (25 vs. 23); however, the aggregate amount of funding requested is less (\$773,457 vs. \$739,359).
- There are no agencies funded last year that did not submit applications this year.
- The following agencies are “new” (i.e., did not submit an application last year or applied for a new project this year):
 - East Bay Innovations (Ramping Up for Independence; new project)
 - Tri-Valley YMCA (Case Management Services for Children and Families)
 - ValleyCare Health System (Meals on Wheels / Blast Chiller)
- Several agencies submitted multiple applications (e.g., Tri-Valley Haven, Axis Community Health, Open Heart Kitchen, ECHO Housing, Spectrum Community Services). Agencies submitting multiple applications were asked to rank them in order of agency priority for funding, and staff considered the preferred prioritization in developing its recommendations.

The chart below summarizes the applications received by category, amount requested, and percentage of total:



Last year, staff developed two funding alternatives for the HSC's discussion and consideration. Two similar alternatives have been provided this year (with changes to reflect the updated Statement of Priorities adopted by the HSC in November 2012):

- Alternative A: Human Services Needs Assessment Core Services and HSC Priorities
- Alternative B: Balanced Distribution of Funding

These funding alternatives are illustrated in the two tables (A and B) in Attachment 1. Each alternative provides a recommendation that is scaled to the funds available in each of the funding source categories; CDBG, City General Fund, HOME, and LIHF. As with the recommendation provided in previous years, these two tables are intended to serve as a starting point for the HSC's discussions and to assist in managing the large quantity and variety of applications.

The two tables in Attachment 1 each include several columns of information for comparative purposes: funding allocated last year; estimated Pleasanton residents to be served; estimated cost per resident; composite ranking (from ZoomGrants); and several columns addressing the specific priority criteria established by the HSC. With regard to the "Cost Per Resident" column, staff would like to emphasize that this statistic should be viewed with care inasmuch as it does not always represent an "apples to apples" comparison among projects. Nevertheless, it is one useful indicator of the cost of providing service.

The following general comments apply to both alternatives:

- Only four (4) applications (\$333,408 total requested) are considered "Capital/Rehab" projects; these applications could be reasonably funded through CDBG (\$179,000 estimated available).
 - The Section 108 loan payment (\$21,408) is mandatory but is the last of 11 annual payments (therefore, no additional allocations will be required after FY 2013/14).
 - The request by Axis for \$100,000 is part of a continuing project to expand clinic services at its new location in Hacienda Business Park; staff believes that full funding is critical at this phase.
 - The funding proposed for the City's Housing Rehabilitation Program represents the level deemed appropriate to sustain a reasonable level of activity during the coming year; however, there is flexibility available to the City in terms of the level of funding and source of funds (e.g., CDBG / HOME) for this program.
- The five (5) "Housing Services" applications (\$133,925 total requested) can be reasonably funded through LIHF again (to be reviewed by the Housing Commission on March 21).
- The remaining 16 applications (\$272,026 total requested) are non-housing public services projects; approximately \$195,000 (\$35,000 CDBG and \$160,000 General Fund; 69% of the amount requested) is available to fund these applications.
 - Most of the public services projects have applied for and received HHSG funding in the past and provide useful and important on-going services in the community.
- None of the applications address the Commission's priority for primary dental care, although many address other "core services" identified in the 2011 Human Services Needs Assessment and demonstrate service coordination and collaboration with other agencies.

The key issue is how to distribute the limited amount of funding among the 16 public service projects (\$272,026 requested; \$195,000 available).

Alternative A: Human Services Needs Assessment Core Services and HSC Priorities

“Alternative A” funding recommendation focuses on providing the greatest level of funding to projects that address the “core services” that were identified in the recently adopted “2011 Human Services Needs Assessment” (dental care, primary health care, mental health, food/nutrition, and housing activities). The HSC also maintained last year’s priority for innovative proposals that demonstrate service coordination and collaboration. The HSC also identified a special priority for projects that would bring primary dental services to the Pleasanton population identified in the needs assessment.

While Alternative A attempts to provide an emphasis on the needs assessment and HSC priorities, it is important to note that other criteria also influence and sometimes override the allocation of funds to projects that meet designated priorities. The most common factors are the restrictions within each funding source. For example, it may be possible to fund a project that does not necessarily “score well” simply because funds are more available in the funding source for that particular type of activity.

With this in mind, staff based the funding recommendation in Alternative A on whether or not an application met one or more of the HSC priority criteria (for non-housing projects; the level of funding is based loosely on the degree to which the criteria are met). This strategy results in the recommended allocation of some level of funding to 19 of the projects that applied:

<u>Agency</u>	<u>Project</u>	<u>Recomm.</u>	<u>% of Req.</u>	
Abode Services	Tri-Valley Hsg Schol Prog case management	\$30,000	88%	*
Axis Community Health	Access to Care for Uninsured	\$15,000	100%	
Axis Community Health	Pre-Development for Capital Project	\$100,000	100%	
Bay Area Community Services	Valley Wellness Center	\$10,000	100%	
City of Pleasanton	City Section 108 Loan Repayment (11 of 11)	\$21,408	100%	
Community Res. for Indep. Living	Housing and Indep Living Services for Disab	\$15,000	100%	*
East Bay Innovations	Ramping Up for Independence	\$6,500	87%	
Easter Seals Bay Area	Kaleidoscope Community Integration Program	\$8,500	85%	
ECHO Housing	Housing Counseling Services	\$50,000	100%	*
Eden I&R, Inc.	2-1-1 Alameda County	\$20,000	100%	
Neighborhood Solutions	Housing Rehabilitation Program	\$157,592	79%	
Open Heart Kitchen	Pleasanton Meal Program Trinity	\$10,000	100%	
Open Heart Kitchen	Pleasanton Senior Meal Program	\$25,000	100%	
Spectrum Community Services	Meals On Wheels for Homebound Elders	\$5,000	93%	
Spectrum Community Services	Congregate Senior Meal Program	\$21,000	99%	
Tri-Valley Haven	Tri-Valley Haven's Food Pantry	\$20,000	100%	
Tri-Valley Haven	Shiloh Domestic Violence Shelter and Services	\$20,000	100%	
Tri-Valley Haven	Sojourner House Homeless Shelter	\$20,000	100%	
Tri-Valley Housing Opportunity Ctr.	Community Stabilization Program	\$25,000	83%	*

(*) Housing project; funded all or in part with HOME or LIHF

With this funding strategy, six (6) projects (generally those that were identified as not meeting priority criteria or with other specific concerns) would not receive any funding:

<u>Agency</u>	<u>Project</u>	<u>Recomm.</u>	<u>% of Req.</u>
ECHO Housing	Reverse Mortgage Counseling	\$0	0%
Legal Assistance for Seniors	Free Legal Services for Low-Income Seniors	\$0	0%
Tri-City Health Center	HIV Advocacy and Case Management	\$0	0%
Tri-Valley Haven	Counseling and Temp Restraining Order Clinic	\$0	0%
Tri-Valley YMCA	Case Mgmt. Services for Children and Families	\$0	0%
ValleyCare Health System	Meals On Wheels Program (blast chiller)	\$0	0%

Alternative A provides a moderate level of funding to a significant number of projects (19, or about 76% of the projects) based on the priorities identified by the HSC in November 2012. Six (6) agencies would not receive any funding for FY 2013/14 which could have a potentially negative impact on those programs.

Alternative B: Balanced Distribution of Funding

“Alternative B” is closest to the funding strategy implemented last year (and in prior years) and is based on an attempt to provide some meaningful level of funding to all eligible projects given the fact that generally all of the agencies are providing useful and necessary services to the community. The recommendations in Alternative B are also loosely weighted based on the composite scores entered by commissioners in the ZoomGrants system. As shown in the table, 23 of the 25 projects would receive some percentage of their request (most of the non-housing services projects would be funded at approximately 75% of the requested amount).

Two of the projects are not proposed for funding in either of the two alternatives. These are discussed briefly below.

Tri-Valley YMCA - Case Management Services for Children and Families

This is a new project requesting one-time funding for a case manager (presumably 1 FTE) to work with children and families in day camps and childcare programs to address behavioral, emotional, and social issues. In general, staff found that the application did not provide a clear picture of the program, how the service is to be delivered, the population it will serve, and the lack of focus on benefitting (and tracking) low income households. In addition, the applicant has requested a high level of funding (\$48,000) that could only be addressed through the General Fund category of HHSG funding (i.e., not CDBG). HHSG would be the majority of funding for the program (\$48,000 of \$59,000 total), and it is not clear if a smaller amount of funding more typical of HHSG “seed funding” (e.g., \$5,000) would still allow the program to proceed or how the position will be sustained in future years. For these general reasons, staff has not recommended funding for this project.

ValleyCare Health System - Meals On Wheels Program (blast chiller)

This is also a new project. ValleyCare is seeking \$12,000 toward the cost of a “blast chiller” unit for its food preparation center (located at Valley Memorial Hospital in Livermore) to support its existing Meals on Wheels program that provides food to low income individuals and households in the community. ValleyCare also applied for the same amount from

Livermore HHSG (“Meals on Wheels and HeadStart Kitchen Project”; see Attachment 7) but that application is not listed on the budget worksheet in the Pleasanton application; therefore, it is not clear if the two projects are for the same blast chiller unit. Equipment of this type is not an eligible expenditure for funding through CDBG so funds would have to come from the General Fund. The application was vague in describing the population to be served, achievement targets, and the focus on benefitting (and tracking) low income households, particularly Pleasanton residents. Other agencies in the community are also providing food programs for low income and it is unclear the extent to which there is any collaboration with those existing activities. For these general reasons, staff has not recommended funding for this project.

Reserve Funding for Unidentified Project(s)

Two years ago, the Commission decided to set aside a portion of HHSG funds (\$11,973) as a contingency for a collaborative project (with the Open Heart Kitchen food storage project in mind). Staff does not see a specific need to do the same this year; however, if the HSC deems appropriate, it can set aside an amount of funding for a future priority project. This will affect the recommendations listed in Attachment 1. If the HSC does decide to set aside contingency funds, staff recommends that they be City General Funds and not CDBG (due to the reduced flexibility and more complicated regulations associated with the latter).

Status of Pre-Existing Allocations

Attachment 4 provides a history of funding through the CDBG program including the allocation of LIHF funds for the past three (3) fiscal years (2010 through 2012). The table also shows the current status of expenditures for the agencies that received funds. Most of the funding from FY 2010 and FY 2011 has been completely expended. [Note that the statistics for expenditure of HOME funds are impacted by the fact that most projects that received funds from prior fiscal years before FY 2010; therefore, their expenditures may not appear within the time frame of the table.] With regard to FY 2012 funding, staff is generally satisfied that most projects are progressing satisfactorily at mid-year. Several projects have not yet invoiced the City for funds but this is not necessarily cause for concern and staff anticipates that all of these small grants will be expended by the end of the current fiscal year.

March 6 Meeting Process

At the February HSC meeting, it was agreed that the March 6 meeting would begin early at 4:00pm (a practice that was initiated in 2011). Similarly, the Commission decided not to require mandatory presentations from each agency. Instead, all agencies will be invited to attend the public meeting and will have an opportunity to speak if desired (and to answer questions by the Commission if applicable). However, the Commission has only expressed a specific interest in receiving brief presentations from agencies that have either not applied for funding recently or are applying for funding for a new project or activity. Applications that fit this description are listed below:

Agency Name	Project Name	\$\$ Requested
East Bay Innovations	Ramping Up for Independence (RU4I)	\$7,500
Tri-Valley YMCA	Case Management Services for Children and Families	\$48,000
ValleyCare Health System	Meals on Wheels Project (Blast Chiller)	\$12,000

Because all three (3) of these applications are proposed for at least some funding through either CDBG or City General Funds, staff will schedule brief presentations from representatives from each agency at the March 6 meeting.

Several agencies are not expected to attend the March 6 meeting because they are recommended for funding either through HOME or LIHF. These agencies (CRIL, ECHO Housing, Neighborhood Solutions, and the Tri-Valley Housing Opportunity Center) will be requested to attend the March 21 Housing Commission meeting, although they have been invited to attend the March 6 HSC meeting if desired.

CONCLUSION

As in prior years, the Commission is faced with a limitation of funds relative to the aggregate dollar amount of requests. The recent changes that led to the creation of the new HHSG program improve the funding picture somewhat both in terms of aggregate funding available and the potential for greater efficiency in allocating funds. However, potential cuts to federal programs in the short-term future create the possibility of fewer federal dollars to allocate locally.

The task before the Commission is to review the non-housing applications and approve funding recommendations for City Council approval. Staff recommends that the Commission include the following components in formulating its funding recommendation:

- Individual Commissioner evaluation of projects (e.g., based on the scoring tools used in ZoomGrants incorporating the established HHSG project review criteria);
- Staff's analysis and recommendation (e.g., the two funding alternatives included in Attachment 1);
- Testimony and discussion at the March 6 meeting; and
- Other information as applicable.

Funding Contingency Plan

As in prior years, the exact amount of the City's CDBG grant will probably remain unknown until April or May (i.e., after the HSC has made its funding recommendations). Consequently, staff recommends that the HSC approve a general contingency plan that will allow adjustments by staff on a pro-rata funding basis to reflect changes in the final amount of the City's CDBG and/or General Fund allocation. [Staff would also attempt to maintain the \$5,000 minimum grant policy.] This approach has worked well in prior years. If the amount of CDBG funding varies by more than 25% of the estimated amount discussed at the beginning of this report (\$192,000, not including \$48,000 for administration and \$23,000 from unused prior year allocations), or if other matters arise that create significant inconsistencies with the HSC's recommendation, staff can bring the matter back for further determination.

STAFF RECOMMENDATION

Staff recommends that the Commission approve the funding allocation as detailed in "Alternative B" in Attachment 1, with the exception of the HOME and LIHF funds which will be reviewed by the Housing Commission on March 21. Staff believes that this will result in an allocation that will address local service and housing needs in a manner consistent with prior years. As noted in Attachment 8, HUD has consistently provided positive feedback to the City regarding its thoughtful and strategic use of federal CDBG and HOME funds.

The Commission's recommendation for HHSG funding is tentatively scheduled to be reviewed by the City Council along with the recommendation from the Housing Commission regarding HOME and LIHF funds on April 16, 2013. At that time, it is expected the Council will adopt a resolution approving the funding plan for the City's HHSG program (as well as youth and civic arts funding through the Community Grant program) for FY 2013/14. A finalized statement of federal funding will be submitted to HUD by the required deadline in early May. Funds for FY 2013/14 HHSG projects will be made available July 1, 2013.

ALTERNATIVE ACTION

The Commission has the latitude to recommend a variety of different funding strategies (subject to applicable program restrictions and other constraints). Staff will have a working Excel spreadsheet available at the meeting for projection on the large screen to facilitate adjustments to the funding allocation.

Submitted by:

/s/

Scott Erickson
Housing Specialist

Attachments:

1. FY 2013/14 HHSG Program – Staff Recommended Funding Alternatives (A & B)
2. Summary of FY 2013/14 HHSG Applications
3. Scoring Report (from ZoomGrants)
4. History of HHSG Funding, 2010 through 2012
5. Objectives and Eligible Activities for Specific Funding Programs (e.g., CDBG and HOME)
6. 2013 Income Limits by Affordability Level (Alameda/Contra Costa Counties, 12/11/2012)
7. City of Livermore FY 2013/14 HHSG Applications Received
8. HUD Annual Community Assessment Report for Program Year 2011 (1/24/2013)
9. Human Services Commission Criteria for Review of HHSG Applications for FY 2013/14

FY 2013 HHSG REVIEW - ATTACHMENT 1
ALTERNATIVE A: HUMAN SERVICES NEEDS ASSESSMENT CORE SERVICES + HSC PRIORITIES

No.	Agency Name	Project Title	Funds Requested	Funds Alloc Last Year	Avg. Recomm. in ZoomGr	Estd. Pls Resid.	Cost Per Resid.	Comp Rank	# HSC Crit Met	Human Svcs Needs Assessmt Core Svcs	Svc Coord / Collab	Prim Dental Svcs	Total	% of Req	CDBG Cap/Reh	CDBG Pub Svc	City Gen Fund	HOME	LIHF					
1) CAPITAL / REHAB																								
3	Axis Community Health	Pre-Development for Capital Project (1st priority)	\$ 100,000	\$ 100,000	\$ 95,000	7,525	\$ 13	81.17	2	x Prim Healthcare	x		\$ 100,000	100%	\$ 100,000									
5	City of Pleasanton	City Section 108 Loan Repayment (last; 11 of 11)	\$ 21,408	\$ 22,104	\$ 21,348	[n/a]	[n/a]	84.17	2	x Housing Activities	x		\$ 21,408	100%	\$ 21,408									
13	Neighborhood Solutions	Housing Rehabilitation Program for City of Pleasanton	\$ 200,000	\$ 200,000	\$ 141,667	12	\$ 16,667	70.75	1	x Housing Activities			\$ 157,592	79%	\$ 57,592			\$ 100,000						
25	ValleyCare Health System (1)	Meals On Wheels Program (blast chiller)	\$ 12,000	\$ -	\$ 8,125	[n/a]	[n/a]	76.10	2	x Food/Nutrition	x		\$ -	0%										
			\$ 333,408	\$ 322,104														\$ 279,000		\$ 179,000	\$ -	\$ -	\$ 100,000	\$ -
2) SERVICES - HOUSING																								
1	Abode Services	Tri-Valley Housing Scholarship Program case management	\$ 33,925	\$ 81,650	\$ 23,979	7	\$ 4,846	68.50	2	x Housing Activities	x		\$ 30,000	88%			\$ 15,000		\$ 15,000					
6	Community Resources for Independent Living	Housing and Indep Living Services for People with Disabilities	\$ 15,000	\$ 20,000	\$ 13,714	30	\$ 500	74.17	1		x		\$ 15,000	100%					\$ 15,000					
9	Eden Council for Hope and Opportunity	Housing Counseling Services (1st priority)	\$ 50,000	\$ 50,000	\$ 41,429	380	\$ 132	71.83	1		x		\$ 50,000	100%					\$ 50,000					
10	Eden Council for Hope and Opportunity	Reverse Mortgage Counseling (2nd priority)	\$ 5,000	\$ 5,000	\$ 3,143	42	\$ 119	63.22	0				\$ -	0%										
23	Tri-Valley Housing Opportunity Center	Community Stabilization Program	\$ 30,000	\$ 30,000	\$ 21,429	97	\$ 309	68.00	1		x		\$ 25,000	83%					\$ 25,000					
			\$ 133,925	\$ 186,650														\$ 120,000		\$ -	\$ -	\$ 15,000	\$ -	\$ 105,000
3) SERVICES - MEDICAL / HEALTH																								
2	Axis Community Health	Access to Care for Uninsured Low-inc Residents (2nd priority)	\$ 15,000	\$ 15,000	\$ 12,857	450	\$ 33	79.00	2	x Prim Healthcare	x		\$ 15,000	100%			\$ 15,000							
18	Tri-City Health Center	HIV Advocacy and Case Management	\$ 5,000	\$ 7,500	\$ 4,357	10	\$ 500	67.03	0				\$ -	0%										
			\$ 20,000	\$ 22,500														\$ 15,000		\$ -	\$ -	\$ 15,000	\$ -	\$ -
4) SERVICES - FOOD																								
14	Open Heart Kitchen	Pleasanton Meal Program Trinity (2nd priority)	\$ 10,000	\$ 10,000	\$ 10,000	150	\$ 67	79.33	1	x Food/Nutrition			\$ 10,000	100%		\$ 10,000								
15	Open Heart Kitchen	Pleasanton Senior Meal Program (1st priority)	\$ 25,000	\$ 25,000	\$ 23,571	155	\$ 161	77.67	1	x Food/Nutrition			\$ 25,000	100%			\$ 25,000							
16	Spectrum Community Services	Congregate Senior Meal Program (1st priority)	\$ 21,139	\$ 21,139	\$ 17,429	350	\$ 60	75.17	1	x Food/Nutrition			\$ 21,000	99%		\$ 21,000								
17	Spectrum Community Services	Meals On Wheels for Homebound Elders (2nd priority)	\$ 5,387	\$ 5,387	\$ 5,253	75	\$ 72	76.83	1	x Food/Nutrition			\$ 5,000	93%		\$ 5,000								
22	Tri-Valley Haven	Tri-Valley Haven's Food Pantry (4th priority)	\$ 20,000	\$ 20,000	\$ 17,833	600	\$ 33	73.75	1	x Food/Nutrition			\$ 20,000	100%			\$ 20,000							
			\$ 81,526	\$ 81,526														\$ 81,000		\$ -	\$ 36,000	\$ 45,000	\$ -	\$ -
5) SERVICES - HOMELESS																								
20	Tri-Valley Haven	Shiloh Domestic Violence Shelter and Services (2nd priority)	\$ 20,000	\$ 20,000	\$ 18,000	5	\$ 4,000	73.25	1	x Housing Activities			\$ 20,000	100%			\$ 20,000							
21	Tri-Valley Haven	Sojourner House Homeless Shelter (1st priority)	\$ 20,000	\$ 20,000	\$ 18,000	5	\$ 4,000	73.20	1	x Housing Activities			\$ 20,000	100%			\$ 20,000							
			\$ 40,000	\$ 40,000														\$ 40,000		\$ -	\$ -	\$ 40,000	\$ -	\$ -
6) SERVICES - FINANCIAL / LEGAL																								
12	Legal Assistance for Seniors	Free Legal Services for Low-Income Seniors	\$ 15,000	\$ 15,000	\$ 9,143	40	\$ 375	67.20	0				\$ -	0%										
19	Tri-Valley Haven	Counseling and Temp Restraining Order Clinic (3rd priority)	\$ 20,000	\$ 20,000	\$ 15,000	50	\$ 400	66.00	0				\$ -	0%										
			\$ 35,000	\$ 35,000														\$ -		\$ -	\$ -	\$ -	\$ -	\$ -
7) SERVICES - DISABLED / SPECIAL NEEDS																								
4	Bay Area Community Services	Valley Wellness Center	\$ 10,000	\$ -	\$ 7,500	25	\$ 400	76.50	2	x Mental Health	x		\$ 10,000	100%			\$ 10,000							
7	East Bay Innovations	Ramping Up for Independence (1)	\$ 7,500	\$ 15,000	\$ 5,036	40	\$ 188	71.00	1		x		\$ 6,500	87%			\$ 6,500							
8	Easter Seals Bay Area	Kaleidoscope Community Integration Program	\$ 10,000	\$ 15,000	\$ 7,500	9	\$ 1,111	68.00	1		x		\$ 8,500	85%			\$ 8,500							
			\$ 27,500	\$ 30,000														\$ 25,000		\$ -	\$ -	\$ 25,000	\$ -	\$ -
8) SERVICES - OTHER																								
11	Eden I&R, Inc.	2-1-1 Alameda County	\$ 20,000	\$ 20,000	\$ 17,667	235	\$ 85	72.83	1		x		\$ 20,000	100%			\$ 20,000							
24	Tri-Valley YMCA (1)	Case Management Services for Children and Families	\$ 48,000	\$ -	\$ 23,500	370	\$ 130	69.17	2	x Mental Health	x		\$ -	0%										
			\$ 68,000	\$ -														\$ 20,000		\$ -	\$ -	\$ 20,000	\$ -	\$ -
NOTES:																								
(1) New project or agency did not apply last year (East Bay Innovations, Tri-Valley YMCA, ValleyCare Health System)			\$ 739,359 TOTAL																					
(2) CDBG funding is based on an estimated grant of \$240,000 comparable to last year's allocation.																								
(3) Estimated CDBG capital/rehab funding includes approx. \$23,000 in unused funds from three past projects from FY 2007 and FY 2010 (Community to People, YMCA, and The Arc of Alameda County).																								

Estd. Funding Available: \$ 179,000 \$ 36,000 \$ 160,000 \$ 100,000 \$ 100,000
(2)(3)

**FY 2013 HHSG REVIEW - ATTACHMENT 1
ALTERNATIVE B: BALANCED FUNDING DISTRIBUTION**

No.	Agency Name	Project Title	Funds Requested	Funds Alloc Last Year	Avg. Recomm. in ZoomGr	Estd. Pls Resid.	Cost Per Resid.	Comp Rank	# HSC Crit Met	Human Svcs Needs Assessmt Core Svcs	Svc Coord / Collab	Prim Dental Svcs	Total	% of Req	CDBG Cap/Reh	CDBG Pub Svc	City Gen Fund	HOME	LIHF					
1) CAPITAL / REHAB																								
3	Axis Community Health	Pre-Development for Capital Project (1st priority)	\$ 100,000	\$ 100,000	\$ 95,000	7,525	\$ 13	81.17	2	x Prim Healthcare	x		\$ 100,000	100%	\$ 100,000									
5	City of Pleasanton	City Section 108 Loan Repayment (last; 11 of 11)	\$ 21,408	\$ 22,104	\$ 21,348	[n/a]	[n/a]	84.17	2	x Housing Activities	x		\$ 21,408	100%	\$ 21,408									
13	Neighborhood Solutions	Housing Rehabilitation Program for City of Pleasanton	\$ 200,000	\$ 200,000	\$ 141,667	12	\$ 16,667	70.75	1	x Housing Activities			\$ 157,592	79%	\$ 57,592			\$ 100,000						
25	ValleyCare Health System (1)	Meals On Wheels Program (blast chiller)	\$ 12,000	\$ -	\$ 8,125	[n/a]	[n/a]	76.10	2	x Food/Nutrition	x		\$ -	0%										
			\$ 333,408	\$ 322,104														\$ 279,000		\$ 179,000	\$ -	\$ -	\$ 100,000	\$ -
2) SERVICES - HOUSING																								
1	Abode Services	Tri-Valley Housing Scholarship Program case management	\$ 33,925	\$ 81,650	\$ 23,979	7	\$ 4,846	68.50	2	x Housing Activities	x		\$ 30,000	88%			\$ 15,000		\$ 15,000					
6	Community Resources for Independent Living	Housing and Indep Living Services for People with Disabilities	\$ 15,000	\$ 20,000	\$ 13,714	30	\$ 500	74.17	1		x		\$ 12,000	80%					\$ 12,000					
9	Eden Council for Hope and Opportunity	Housing Counseling Services (1st priority)	\$ 50,000	\$ 50,000	\$ 41,429	380	\$ 132	71.83	1		x		\$ 48,000	96%					\$ 48,000					
10	Eden Council for Hope and Opportunity	Reverse Mortgage Counseling (2nd priority)	\$ 5,000	\$ 5,000	\$ 3,143	42	\$ 119	63.22	0				\$ 5,000	100%					\$ 5,000					
23	Tri-Valley Housing Opportunity Center	Community Stabilization Program	\$ 30,000	\$ 30,000	\$ 21,429	97	\$ 309	68.00	1		x		\$ 25,000	83%					\$ 25,000					
			\$ 133,925	\$ 186,650														\$ 120,000		\$ -	\$ -	\$ 15,000	\$ -	\$ 105,000
3) SERVICES - MEDICAL / HEALTH																								
2	Axis Community Health	Access to Care for Uninsured Low-inc Residents (2nd priority)	\$ 15,000	\$ 15,000	\$ 12,857	450	\$ 33	79.00	2	x Prim Healthcare	x		\$ 13,000	87%			\$ 13,000							
18	Tri-City Health Center	HIV Advocacy and Case Management	\$ 5,000	\$ 7,500	\$ 4,357	10	\$ 500	67.03	0				\$ 5,000	100%			\$ 5,000							
			\$ 20,000	\$ 22,500														\$ 18,000		\$ -	\$ -	\$ 18,000	\$ -	\$ -
4) SERVICES - FOOD																								
14	Open Heart Kitchen	Pleasanton Meal Program Trinity (2nd priority)	\$ 10,000	\$ 10,000	\$ 10,000	150	\$ 67	79.33	1	x Food/Nutrition			\$ 8,000	80%			\$ 8,000							
15	Open Heart Kitchen	Pleasanton Senior Meal Program (1st priority)	\$ 25,000	\$ 25,000	\$ 23,571	155	\$ 161	77.67	1	x Food/Nutrition			\$ 22,000	88%		\$ 11,000	\$ 11,000							
16	Spectrum Community Services	Congregate Senior Meal Program (1st priority)	\$ 21,139	\$ 21,139	\$ 17,429	350	\$ 60	75.17	1	x Food/Nutrition			\$ 20,000	95%		\$ 20,000								
17	Spectrum Community Services	Meals On Wheels for Homebound Elders (2nd priority)	\$ 5,387	\$ 5,387	\$ 5,253	75	\$ 72	76.83	1	x Food/Nutrition			\$ 5,000	93%		\$ 5,000								
22	Tri-Valley Haven	Tri-Valley Haven's Food Pantry (4th priority)	\$ 20,000	\$ 20,000	\$ 17,833	600	\$ 33	73.75	1	x Food/Nutrition			\$ 15,000	75%			\$ 15,000							
			\$ 81,526	\$ 81,526														\$ 70,000		\$ -	\$ 36,000	\$ 34,000	\$ -	\$ -
5) SERVICES - HOMELESS																								
20	Tri-Valley Haven	Shiloh Domestic Violence Shelter and Services (2nd priority)	\$ 20,000	\$ 20,000	\$ 18,000	5	\$ 4,000	73.25	1	x Housing Activities			\$ 16,000	80%			\$ 16,000							
21	Tri-Valley Haven	Sojourner House Homeless Shelter (1st priority)	\$ 20,000	\$ 20,000	\$ 18,000	5	\$ 4,000	73.20	1	x Housing Activities			\$ 16,000	80%			\$ 16,000							
			\$ 40,000	\$ 40,000														\$ 32,000		\$ -	\$ -	\$ 32,000	\$ -	\$ -
6) SERVICES - FINANCIAL / LEGAL																								
12	Legal Assistance for Seniors	Free Legal Services for Low-Income Seniors	\$ 15,000	\$ 15,000	\$ 9,143	40	\$ 375	67.20	0				\$ 13,000	87%			\$ 13,000							
19	Tri-Valley Haven	Counseling and Temp Restraining Order Clinic (3rd priority)	\$ 20,000	\$ 20,000	\$ 15,000	50	\$ 400	66.00	0				\$ 17,000	85%			\$ 17,000							
			\$ 35,000	\$ 35,000														\$ 30,000		\$ -	\$ -	\$ 30,000	\$ -	\$ -
7) SERVICES - DISABLED / SPECIAL NEEDS																								
4	Bay Area Community Services	Valley Wellness Center	\$ 10,000	\$ -	\$ 7,500	25	\$ 400	76.50	2	x Mental Health	x		\$ 5,000	50%			\$ 5,000							
7	East Bay Innovations	Ramping Up for Independence (1)	\$ 7,500	\$ 15,000	\$ 5,036	40	\$ 188	71.00	1		x		\$ 5,000	67%			\$ 5,000							
8	Easter Seals Bay Area	Kaleidoscope Community Integration Program	\$ 10,000	\$ 15,000	\$ 7,500	9	\$ 1,111	68.00	1		x		\$ 5,000	50%			\$ 5,000							
			\$ 27,500	\$ 30,000														\$ 15,000		\$ -	\$ -	\$ 15,000	\$ -	\$ -
8) SERVICES - OTHER																								
11	Eden I&R, Inc.	2-1-1 Alameda County	\$ 20,000	\$ 20,000	\$ 17,667	235	\$ 85	72.83	1		x		\$ 16,000	80%			\$ 16,000							
24	Tri-Valley YMCA (1)	Case Management Services for Children and Families	\$ 48,000	\$ -	\$ 23,500	370	\$ 130	69.17	2	x Mental Health	x		\$ -	0%										
			\$ 68,000	\$ -														\$ 16,000		\$ -	\$ -	\$ 16,000	\$ -	\$ -
			\$ 739,359	TOTAL														\$ 580,000	78%	\$ 179,000	\$ 36,000	\$ 160,000	\$ 100,000	\$ 105,000

NOTES:

(1) New project or agency did not apply last year (East Bay Innovations, Tri-Valley YMCA, ValleyCare Health System)

(2) CDBG funding is based on an estimated grant of \$240,000 comparable to last year's allocation.

(3) Estimated CDBG capital/rehab funding includes approx. \$23,000 in unused funds from three past projects from FY 2007 and FY 2010 (Community to People, YMCA, and The Arc of Alameda County).

Estd. Funding Available: \$ 179,000 \$ 36,000 \$ 160,000 \$ 100,000 \$ 100,000

(2)(3)

**ATTACHMENT 2:
FY 2013/14 HHSO APPLICATIONS RECEIVED THROUGH ZOOMGRANTS**

TOTAL REQUESTS: \$ 739,359

Alpha Ord	Agency Name	Project Title	Funds Requested	Date / Time Submitted	Order Recd.
1	Abode Services	Tri-Valley Housing Scholarship Program	\$ 33,925	1/28/2013 - 11:09 AM	14
2	Axis Community Health	Access to Health Care for Uninsured, Low-income Pls Residents	\$ 15,000	1/28/2013 - 1:01 PM	17
3	Axis Community Health	Pre-Development for Capital Project	\$ 100,000	1/28/2013 - 12:59 PM	16
4	Bay Area Community Services, Inc.	Valley Wellness Center	\$ 10,000	1/27/2013 - 9:26 PM	12
5	City of Pleasanton	Annual Section 108 Loan Payment (11 of 11)	\$ 21,408	1/28/2013 - 4:59 PM	25
6	Community Resources for Independent Living	Housing & Indep Living Support Services for People with Disabilities	\$ 15,000	1/28/2013 - 1:29 PM	19
7	East Bay Innovations	Ramping Up for Independence (RU4I)	\$ 7,500	1/23/2013 - 9:50 PM	7
8	Easter Seals Bay Area	Kaleidoscope Community Integration	\$ 10,000	12/12/2012 - 4:49 PM	1
9	Eden Council for Hope and Opportunity	Housing Counseling	\$ 50,000	1/28/2013 - 2:20 PM	20
10	Eden Council for Hope and Opportunity	Reverse Mortgage Counseling	\$ 5,000	1/28/2013 - 2:45 PM	21
11	Eden I&R, Inc.	2-1-1 Alameda County	\$ 20,000	1/25/2013 - 2:30 PM	10
12	Legal Assistance for Seniors	Legal Services for Pleasanton Seniors	\$ 15,000	1/28/2013 - 4:34 PM	23
13	Neighborhood Solutions	City of Pleasanton Housing Rehabilitation Program	\$ 200,000	1/28/2013 - 8:44 AM	13
14	Open Heart Kitchen	Open Heart Kitchen Hot Meal Program (Trinity)	\$ 10,000	1/5/2013 - 11:03 AM	3
15	Open Heart Kitchen	Open Heart Kitchen Senior Meal Program (Ridge View Commons)	\$ 25,000	1/3/2013 - 2:47 PM	2
16	Spectrum Community Services	Congregate Meal Program	\$ 21,139	1/28/2013 - 11:54 AM	15
17	Spectrum Community Services	Meals on Wheels Program	\$ 5,387	1/28/2013 - 1:26 PM	18
18	Tri-City Health Center	East County HIV Advocacy	\$ 5,000	1/28/2013 - 2:57 PM	22
19	Tri-Valley Haven	Counseling and Temporary Restraining Order Clinic	\$ 20,000	1/24/2013 - 3:05 PM	9
20	Tri-Valley Haven	Shiloh Domestic Violence Shelter and Services	\$ 20,000	1/24/2013 - 10:14 AM	8
21	Tri-Valley Haven	Sojourner House Homeless Shelter	\$ 20,000	1/23/2013 - 1:23 PM	6
22	Tri-Valley Haven	Tri-Valley Haven's Food Pantry	\$ 20,000	1/22/2013 - 3:43 PM	4
23	Tri-Valley Housing Opportunity Center	Community Stabilization Program	\$ 30,000	1/27/2013 - 7:18 PM	11
24	Tri-Valley YMCA	Case Management Services for Children and Families	\$ 48,000	1/28/2013 - 4:52 PM	24
25	ValleyCare Health System	Meals on Wheels Project	\$ 12,000	1/22/2013 - 4:34 PM	5

FY 2013/14 Housing and Human Services Grant

City of Pleasanton
\$650,000.00 available

Scoring Report

Click on column title to sort by that column. Click again to reverse the order.
Initial sort is alphabetical by Organization Name.
"Declined" and "Not Qualified" Trial Decisions are automatically removed.
Scoring questions are listed at the bottom of this page for reference.

Report Generated:
2/27/2013 8:23:55 AM
for serickson@cityofpleasantonca.gov

Report Type

Organization Name Proposal Title	Requested Amount	Votes (F-A)	Average Recommend	Trial Decision	Trial Amount	Q1	Q2	Q3	Q4	Q5	Q6	Q7	Q8	Q9	Q10	Committee Score	Adm Q1	Adm Q2	Adm Q3	Adm Q4	Admin Score	Total Score
Abode Services Tri-Valley Housing Scholarship Program	\$33,925.00	7 - 0	\$23,978.57	Undecided	\$ 0	8.2	7.2	8.5	7.5	6.8	7.7	7.8	7.5	7.3	0.0	68.50					0	68.50
Axis Community Health Access to Health Care for Uninsured, Low-income Pleasanton Residents	\$15,000.00	7 - 0	\$12,857.14	Undecided	\$ 0	9.5	8.7	9.5	9.0	7.8	7.3	9.2	9.0	8.2	0.8	79.00					0	79.00
Axis Community Health Pre-Development for Capital Project	\$100,000.00	7 - 0	\$95,000.00	Undecided	\$ 0	9.3	9.0	9.2	9.2	8.0	7.8	9.2	9.0	8.5	2.0	81.17					0	81.17
Bay Area Community Services, Inc. Valley Wellness Center	\$10,000.00	7 - 0	\$7,500.00	Undecided	\$ 0	8.8	8.0	8.2	7.7	7.8	8.3	9.0	9.2	7.8	1.7	76.50					0	76.50
City of Pleasanton City "Section 108" Loan Repayment	\$21,408.00	6 - 0	\$21,348.00	Undecided	\$ 0	9.5	9.3	10.0	9.3	9.0	10.0	9.3	9.3	8.3	0.0	84.17					0	84.17
Community Resources for Independent Living Housing & Independent Living Support Services for People with Disabilities	\$15,000.00	7 - 0	\$13,714.29	Undecided	\$ 0	8.7	7.3	8.0	8.0	7.3	8.2	8.3	8.0	8.8	1.5	74.17					0	74.17
East Bay Innovations Ramping Up for Independence (RU4I)	\$7,500.00	7 - 0	\$5,035.71	Undecided	\$ 0	8.7	7.8	8.3	7.7	7.0	7.7	8.3	7.7	7.8	0.0	71.00					0	71.00
Easter Seals Bay Area Kaleidoscope Community Integration	\$10,000.00	7 - 0	\$7,500.00	Undecided	\$ 0	8.0	7.2	8.3	7.3	7.2	6.7	8.3	7.8	7.2	0.0	68.00					0	68.00
Eden Council for Hope and Opportunity Housing Counseling	\$50,000.00	7 - 0	\$41,428.57	Undecided	\$ 0	8.7	7.8	8.5	7.3	7.7	8.0	8.0	8.5	7.3	0.0	71.83					0	71.83
Eden Council for Hope and Opportunity Reverse Mortgage Counseling	\$5,000.00	6 - 1	\$3,142.86	Undecided	\$ 0	6.5	6.8	8.0	6.8	6.3	6.2	7.0	7.7	7.0	0.0	62.33					0	62.33
Eden I&R, Inc. 2-1-1 Alameda County	\$20,000.00	7 - 0	\$17,666.71	Undecided	\$ 0	8.5	8.5	8.3	7.2	7.5	7.7	8.2	7.8	8.3	0.8	72.83					0	72.83
Legal Assistance for Seniors Legal Services for Pleasanton Seniors	\$15,000.00	7 - 0	\$9,142.86	Undecided	\$ 0	8.0	8.0	8.2	7.4	6.8	8.2	6.6	6.8	7.2	0.0	67.20					0	67.20
Neighborhood Solutions City of Pleasanton Housing Rehabilitation Program	\$200,000.00	6 - 0	\$141,666.67	Undecided	\$ 0	8.5	7.3	8.3	8.0	7.3	7.5	8.0	8.8	7.3	0.0	70.75					0	70.75
Open Heart Kitchen Open Heart Kitchen Pleasanton Hot Meal Program	\$10,000.00	7 - 0	\$10,000.00	Undecided	\$ 0	9.2	9.2	9.2	9.0	8.3	8.3	8.5	9.0	8.7	0.0	79.33					0	79.33
Open Heart Kitchen Open Heart Kitchen senior meal program	\$25,000.00	7 - 0	\$23,571.43	Undecided	\$ 0	8.8	8.3	9.2	8.8	8.7	8.2	8.3	8.8	8.5	0.0	77.67					0	77.67
Spectrum Community Services Congregate Meals Program for Pleasanton's Elderly	\$21,139.00	7 - 0	\$17,428.57	Undecided	\$ 0	8.8	8.3	8.7	8.5	7.7	7.8	8.3	8.7	8.3	0.0	75.17					0	75.17
Spectrum Community Services Meals on Wheels for Pleasanton's Homebound Elderly	\$5,387.00	7 - 0	\$5,253.43	Undecided	\$ 0	8.8	8.5	9.0	8.3	7.8	8.0	8.7	8.8	8.8	0.0	76.83					0	76.83
Tri-City Health Center East County HIV Advocacy	\$5,000.00	7 - 0	\$4,357.14	Undecided	\$ 0	7.5	7.2	7.7	8.2	7.5	7.3	6.8	7.7	7.2	0.0	67.03					0	67.03
Tri-Valley Haven Counseling and Temporary Restraining Order Clinic	\$20,000.00	7 - 0	\$15,000.00	Undecided	\$ 0	7.8	7.6	7.8	6.8	7.4	7.4	7.2	7.0	7.0	0.0	66.00					0	66.00
Tri-Valley Haven Shiloh Domestic Violence Shelter and Services	\$20,000.00	7 - 0	\$18,000.00	Undecided	\$ 0	8.6	8.2	8.3	7.8	8.0	7.8	8.8	8.2	7.6	0.0	73.25					0	73.25
Tri-Valley Haven Sojourner House Homeless Shelter	\$20,000.00	7 - 0	\$18,000.00	Undecided	\$ 0	8.6	7.6	8.2	8.0	7.2	8.2	8.2	8.8	8.4	0.0	73.20					0	73.20
Tri-Valley Haven Tri-Valley Haven's Food Pantry	\$20,000.00	6 - 0	\$17,833.33	Undecided	\$ 0	8.8	7.8	8.8	8.3	7.3	7.8	8.0	9.0	8.3	0.0	73.75					0	73.75
Tri-Valley Housing Opportunity Center Community Stabilization Program	\$30,000.00	7 - 0	\$21,428.57	Undecided	\$ 0	7.2	7.2	7.8	7.7	7.2	7.5	8.2	8.2	7.2	0.0	68.00					0	68.00
Tri-Valley YMCA Case Management Services	\$48,000.00	5 - 0	\$23,500.00	Undecided	\$ 0	8.0	7.3	8.5	7.3	7.2	7.2	8.2	7.7	7.8	0.0	69.17					0	69.17
ValleyCare Health System Meals on Wheels Project	\$12,000.00	6 - 0	\$8,125.00	Undecided	\$ 0	8.4	7.8	8.6	8.4	7.8	7.4	8.2	8.6	8.4	2.5	76.10	0				0	76.10

25 displayed
0 not displayed (Trial Declines)

\$739,359.00

\$0.00 Total Available
\$650,000.00
\$650,000.00

	Scale	Weight	Max Score
Committee Scoring Questions			
1 NEED - Rank the need for this activity/service. [Considerations: need has been clearly identified; information supplied by agency shows how project will address the need; the project addresses an identified problem.]	10	1	10
2 BENEFIT - Rank the benefit to Pleasanton residents. [Considerations: clearly demonstrates number of Pleasanton residents who will benefit in relation to funding requested; serves low income households; etc.]	10	1	10
3 ORGANIZATION - Rank the applicant's organizational strength and capacity. [Considerations: track record; accountability; realistic / achievable goals; consistent philosophy; collaboration; staffing; completeness of application.]	10	1	10
4 FUNDING - Rank the applicant's request for funding. [Considerations: cost-effective; provides lasting improvements; maintains existing service in jeopardy; achieves impact on need; goals are achievable and measurable; etc.]	10	1	10
5 FUNDING ALTERNATIVES - Rank the proposal regarding funding alternatives. [Consideration: funding from other sources; agency contributes to or generates income to support this activity/service; etc.]	10	1	10
6 CITY FUNDING - Rank the proposal regarding the necessity of City funding. [Considerations: City funds are critical to project; appropriateness for City funding; reduces demands on other City resources; no alternative funding sources; etc.]	10	1	10
7 CONSISTENCY WITH POLICIES - Rank the proposal regarding consistency with federal, regional, and local policies. [Considerations: HUD Consolidated Plan; General Plan Housing Element; Tri-Valley Needs Assessment; etc.]	10	1	10
8 HSC PRIORITY #1 - Rank the proposal regarding the extent to which it addresses one or more "core services" identified in the 2011 Human Services Needs Assessment (e.g., dental/primary health care, mental health, food/nutrition, housing)	10	1	10
9 HSC PRIORITY #2 - Rank the proposal regarding the extent to which it demonstrates service coordination and collaboration	10	1	10
10 HSC PRIORITY #3 - Rank the proposal regarding the extent to which it addresses the Commission's particular interest in bringing primary dental services to Pleasanton residents in need.	10	1	10

Maximum Committee Total 100

Administrative Scoring Questions

	Scale	Weight	Max Score
1 Is the application complete and are all required documents uploaded into ZoomGrants?	10	1	10
2 If the agency received previous funding from Pleasanton, did it meet the previous year's program goals and did it comply with applicable HHS program policies and procedures?	10	1	10
3 For previously funded applicants: Does the agency have the skills, experience and/or appropriate credentials to administer, conduct, and account for a responsible project?	10	1	10
4 For first time applicants: Does the application provide evidence/documentation that the agency (or its agent) has the skills, experience and/or appropriate credentials to administer, conduct, and account for a responsible project?	10	1	10

Maximum Admin Total 40

Maximum Total Score 140



Attachment 4:
Housing and Human Services Grant
(HHSG) Projects, FY 2010-2012
(Federal and City Funding Sources)



HCD Prog #	Project/Agency Name	Project Purpose	Allocated	Spent	(%)
FY: 12-13					
12000	City of Pleasanton	Administration of CDBG program	\$48,047.00	\$1,449.64	3%
12001	City "Section 108" Loan Repayment	Sojourner House (10 of 11 annual loan payments)	\$22,104.00	\$22,104.00	100%
12004A	Axis Community Health	Pre-Development for Capital Project	\$100,000.00	\$2,550.50	3%
12004B	Axis Community Health	Healthcare Access for Low-Income Residents	\$11,035.00	\$6,729.43	61%
12005A	Neighborhood Solutions	Housing Rehab Prog (Minor Home Repair Pool)	\$18,036.00	\$8,208.15	46%
12005B	Neighborhood Solutions	Housing Rehab Prog (Administration)	\$6,012.00	\$6,012.00	100%
12011A	Open Heart Kitchen	Hot Meal Program - Ridge View Commons	\$25,000.00	\$19,731.00	79%
12011C	Open Heart Kitchen	Regional Food Storage Project	\$10,000.00	\$10,000.00	100%
		CDBG	\$240,234.00	\$76,785	32%
12002	Tri-Valley Housing Opportunity Center	TVHOC Community Stabilization Program	\$25,000.00	\$12,500.00	50%
12007B	Abode Services	TVHSP Case Management Services	\$12,000.00		
12009A	ECHO Housing	Housing Counseling Services	\$50,000.00	\$20,125.00	40%
12009B	ECHO Housing	Reverse Mortgage Counseling	\$5,000.00	\$828.18	17%
12012	Comm. Resources for Indep. Living (CRIL)	Independent Housing Services for the Disabled	\$15,000.00	\$3,085.26	21%
		City LIHF	\$107,000.00	\$36,538	34%
12003A	Tri-Valley Haven	Tri-Valley Haven Food Pantry	\$15,000.00	\$8,410.45	56%
12003B	Tri-Valley Haven	Counseling and Temporary Restraining Order Clinic	\$15,000.00	\$9,200.00	61%
12003C	Tri-Valley Haven	Shiloh Domestic Violence Shelter and Services	\$15,000.00	\$15,000.00	100%
12003D	Tri-Valley Haven	Sojourner House Homeless Shelter	\$17,000.00	\$17,000.00	100%
12004C	Axis Community Health	Healthcare Access for Low-Income Residents	\$4,000.00		
12006A	Spectrum Community Services	Meals on Wheels for Pleasanton Seniors	\$5,000.00	\$3,116.00	62%
12006B	Spectrum Community Services	Congregate Meals for Seniors in Pleasanton	\$16,000.00	\$10,974.00	69%
12007A	Abode Services	TVHSP Case Management Services	\$12,000.00	\$3,522.00	29%
12008	Tri-City Health Center	East County HIV Advocacy	\$5,000.00	\$2,542.42	51%
12010	Legal Assistance for Seniors	Legal Services and Education for Seniors	\$10,000.00	\$4,242.14	42%
12011B	Open Heart Kitchen	Hot Meal Program - Trinity Lutheran	\$10,000.00	\$9,999.26	100%
12013	Easter Seals Bay Area	Tri-Valley Community Inclusion Group	\$10,000.00		
12014	Eden I&R, Inc.	2-1-1 Alameda County	\$15,000.00	\$5,646.96	38%
12017	East Bay Innovations	Ticket to Work Employment Network	\$11,000.00	\$5,757.83	52%
		Gen Fund	\$160,000.00	\$95,411	60%
12100	Administration (City)	HOME program admin - City	\$4,739.00		
12101	Administration (County)	HOME program admin - Alameda Co. HCD	\$4,739.00		
12102	[REMAINING FUNDS - NOT YET ALLO	[TBD]	\$74,678.00		
		HOME	\$84,156.00		



Attachment 4:
Housing and Human Services Grant
(HHSG) Projects, FY 2010-2012
(Federal and City Funding Sources)



HCD Prog #	Project/Agency Name	Project Purpose	Allocated	Spent	(%)
FY: 11-12					
11000	City of Pleasanton	Administration of CDBG program	\$49,900.00	\$49,900.00	100%
11001	City "Section 108" Loan Repayment	Sojourner House (9 of 11 annual loan payments)	\$22,211.00	\$22,210.85	100%
11003D	Tri-Valley Haven	Sojourner House Homeless Shelter	\$2,311.00	\$2,311.00	100%
11004A	Axis Community Health	Healthcare Access for Low-Income Residents	\$13,861.00	\$13,861.25	100%
11004B	Axis Community Health	Pre-Development for Capital Project	\$18,000.00	\$17,999.50	100%
11005A	Neighborhood Solutions	Housing Rehab Prog (Minor Home Repair Pool)	\$60,000.00	\$30,000.00	50%
11005C	Neighborhood Solutions	Housing Rehab Prog (Administration)	\$20,000.00	\$20,000.00	100%
11011A	Open Heart Kitchen	Hot Meal Program - Ridge View Commons	\$12,013.00	\$12,013.00	100%
11011C	Open Heart Kitchen	Hot Meal Program - Trinity Lutheran	\$9,241.00	\$9,241.00	100%
11015	GRID Alternatives	Solar Affordable Housing Program	\$27,000.00	\$27,000.00	100%
11019	Tri-Valley REACH	Fence replacement at residence for dev-disab adults	\$3,000.00	\$3,000.00	100%
11020	Open Heart Kitchen	Regional Food Storage Project	\$11,973.00	\$11,973.00	100%
		CDBG	\$249,510.00	\$219,510	88%
11005PI	Neighborhood Solutions (PI)	Housing Rehab Prog (Minor Home Repair Pool)	\$48,804.35	\$48,804.35	100%
		CDBG-PI	\$48,804.35	\$48,804	100%
11002	Tri-Valley Housing Opportunity Center	TVHOC Community Stabilization Program	\$23,000.00	\$23,000.00	100%
11009A	ECHO Housing	Housing Counseling Services	\$50,000.00	\$40,948.00	82%
11009B	ECHO Housing	Reverse Mortgage Counseling	\$5,000.00	\$5,000.00	100%
11012	Comm. Resources for Indep. Living (CRIL)	Independent Housing Services for the Disabled	\$15,000.00	\$15,000.00	100%
		City LIHF	\$93,000.00	\$83,948	90%
11003A	Tri-Valley Haven	Tri-Valley Haven Food Pantry	\$10,000.00	\$10,000.00	100%
11003B	Tri-Valley Haven	Counseling and Temporary Restraining Order Clinic	\$10,000.00	\$10,000.00	100%
11003C	Tri-Valley Haven	Shiloh Domestic Violence Shelter and Services	\$15,000.00	\$15,000.00	100%
11003E	Tri-Valley Haven	Sojourner House Homeless Shelter	\$15,000.00	\$15,000.00	100%
11006A	Spectrum Community Services	Meals on Wheels for Pleasanton Seniors	\$6,000.00	\$6,000.00	100%
11006B	Spectrum Community Services	Congregate Meals for Seniors in Pleasanton	\$14,000.00	\$14,000.00	100%
11007	Abode Services	TVHSP Case Management Services	\$20,000.00	\$19,999.53	100%
11008	Tri-City Health Center	East County HIV Advocacy	\$5,000.00	\$5,000.00	100%
11010	Legal Assistance for Seniors	Legal Services and Education for Seniors	\$10,000.00	\$10,000.00	100%
11011B	Open Heart Kitchen	Hot Meal Program - Ridge View Commons	\$10,000.00	\$10,000.00	100%
11013	Easter Seals Bay Area	Tri-Valley Community Inclusion Group	\$5,000.00	\$5,000.00	100%
11014	Bay Area Community Services (BACS)	Valley Wellness Center	\$5,000.00	\$5,000.00	100%
11016	Hope Hospice, Inc.	Grief Support Center	\$5,000.00	\$5,000.00	100%
11017	East Bay Innovations	Connect University	\$5,000.00	\$5,000.00	100%
11018	The Arc of Alameda County	Tri-Valley Van Upgrade	\$5,000.00	\$5,000.00	100%
		Gen Fund	\$140,000.00	\$140,000	100%
11100	Administration (City)	HOME program admin - City	\$9,156.00	\$9,156.00	100%
11101	Administration (County)	HOME program admin - Alameda Co. HCD	\$9,156.00		
11102	Neighborhood Solutions	Housing Rehab Prog (Major Rehab Loans)	\$85,275.00		
11103	[REMAINING FUNDS - NOT YET ALLO	[TBD]	\$67,910.00		
		HOME	\$171,497.00	\$9,156	5%



Attachment 4:
Housing and Human Services Grant
(HHSG) Projects, FY 2010-2012
(Federal and City Funding Sources)



HCD Prog #	Project/Agency Name	Project Purpose	Allocated	Spent	(%)
FY: 10-11					
10000	City of Pleasanton	Administration of CDBG program	\$59,784.00	\$59,784.00	100%
10001	City "Section 108" Loan Repayment	Sojourner House (8 of 11 annual loan payments)	\$22,235.00	\$22,235.00	100%
10002	Tri-Valley Housing Opportunity Center	Tri-Valley Housing Opportunity Center Renovation	\$27,282.00	\$27,282.00	100%
10003A	Tri-Valley Haven	Shiloh DV Shelter Handicap Impr (see also 07003C)	\$1,464.00	\$1,464.00	100%
10004B	Axis Community Health	Automated Patient Messaging System	\$5,180.00	\$5,180.00	100%
10004C	Axis Community Health	Access to Health Care for the Uninsured	\$8,197.00	\$8,197.00	100%
10005A	Neighborhood Solutions	Housing Rehab Prog (Minor Home Repair Pool)	\$53,401.06	\$53,401.06	100%
10005B	Neighborhood Solutions	Housing Rehab Prog (Major Rehab Loans)	\$50,203.19	\$43,526.28	87%
10005C	Neighborhood Solutions	Housing Rehab Prog (Administration)	\$34,534.75	\$34,534.75	100%
10006B	Spectrum Community Services	Congregate Meals for Seniors in Pleasanton	\$6,558.00	\$6,558.00	100%
10009A	ECHO Housing	Housing Counseling Services	\$5,000.00	\$5,000.00	100%
10011B	Open Heart Kitchen	Hot Meal Program - Trinity Lutheran	\$5,738.00	\$5,738.00	100%
10013	Easter Seals Bay Area	Tri-Valley Community Inclusion Group	\$5,000.00	\$5,000.00	100%
10014	Bay Area Community Services (BACS)	Valley Creative Living Center	\$8,197.00	\$8,197.00	100%
10018	The Arc of Alameda County	Alternative Ventures Program - Tri-Valley	\$6,148.00	\$5,858.55	95%
		CDBG	\$298,922.00	\$291,956	98%
10007B	Abode Services	TVHSP Case Management Services	\$15,000.00	\$12,463.00	83%
10009B	ECHO Housing	Housing Counseling Services	\$50,000.00	\$50,000.00	100%
10009C	ECHO Housing	Reverse Mortgage Counseling	\$6,500.00	\$6,500.00	100%
10012B	Comm. Resources for Indep. Living (CRIL)	Independent Housing Services for the Disabled	\$15,000.00	\$15,000.00	100%
		City LIHF	\$86,500.00	\$83,963	97%
10003B	Tri-Valley Haven	Tri-Valley Haven Food Pantry	\$11,425.00	\$11,425.00	100%
10003C	Tri-Valley Haven	Counseling and Temporary Restraining Order Clinic	\$11,424.00	\$11,424.00	100%
10003D	Tri-Valley Haven	Sojourner House Homeless Shelter	\$11,424.00	\$11,424.00	100%
10003E	Tri-Valley Haven	Shiloh Domestic Violence Shelter and Services	\$20,000.00	\$20,000.00	100%
10004A	Axis Community Health	Medical Care for Low-Income Residents	\$20,000.00	\$20,000.00	100%
10006A	Spectrum Community Services	Meals on Wheels for Pleasanton Seniors	\$5,727.00	\$5,727.00	100%
10007A	Abode Services	TVHSP Case Management Services	\$10,000.00	\$10,000.00	100%
10008	Tri-City Health Center	East County HIV Advocacy	\$15,000.00	\$15,000.00	100%
10010	Legal Assistance for Seniors	Legal Services and Education for Seniors	\$14,000.00	\$14,000.00	100%
10011A	Open Heart Kitchen	Hot Meal Program - Ridge View Commons	\$15,000.00	\$15,000.00	100%
10012A	Comm. Resources for Indep. Living (CRIL)	Independent Housing Services for the Disabled	\$5,000.00	\$5,000.00	100%
10015	Child Care Links	Community Services Project	\$20,000.00	\$20,000.00	100%
10016	Hope Hospice, Inc.	Grief Support Center	\$5,000.00	\$5,000.00	100%
10017	East Bay Innovations	Connect University	\$6,000.00	\$6,000.00	100%
		Gen Fund	\$170,000.00	\$170,000	100%
10100	Administration (City)	HOME program admin - City	\$10,368.00	\$10,368.00	100%
10101	Administration (County)	HOME program admin - Alameda Co. HCD	\$10,368.00	\$10,368.00	100%
10102	Tri-Valley Hsg Schol Prog (rent subsidies)	Monthly rent subsidies to TVHSP clients; FY2010 alloc	\$22,795.00		
10103	Neighborhood Solutions	Housing Rehab Prog (Major Rehab Loans)	\$64,725.00		
		HOME	\$108,256.00	\$20,736	19%

Attachment 5:

Objectives and Eligible Activities for Specific Funding Programs

1) Community Development Block Grant (CDBG)

Each year, the City of Pleasanton receives an entitlement grant of federal Community Development Block Grant (CDBG) funds through the U.S. Department of Housing and Urban Development (HUD). CDBG funds must be used for projects which benefit primarily lower income households or individuals, aid in the prevention or elimination of slums or blight, or meet an urgent local community development need.

The City has traditionally opened these federal funds for application by eligible nonprofit agencies which provide housing and services benefiting low-income Pleasanton residents. Activities that are eligible for CDBG funding include acquisition, construction, rehabilitation, or installation of public facilities and improvements; public services (limited to 15% of the City's total grant); removal of architectural barriers to elderly or handicapped persons; and, rehabilitation and preservation activities for low-income housing, senior housing, and other qualified facilities.

Prior to 1994, the City received its CDBG funds through Alameda County as a smaller city. As of July 1, 1994, the City became eligible (due to its increased population) to receive a direct entitlement from HUD. HUD determines each jurisdiction's funding level prior to the beginning of each new fiscal year based on a formula which includes factors such as the population of low income persons and the age and quality of the housing stock. Since becoming an entitlement city in 1994, Pleasanton has received approximately \$250,000 to \$300,000 in CDBG funds each year.

In order to receive CDBG funds each year, the City enters into a contract with HUD to agree to implement the Housing and Community Development Act of 1974 and related CDBG program regulations in 24 CFR (Code of Federal Regulations) 570. All CDBG allocations are subject to the regulations detailed in OMB Circulars A-110 & A-122. Information regarding both programs can be obtained on HUD's website (www.hud.gov) and in Appendix B of this manual.

All CDBG-funded activities must meet at least one of the National Objectives stated in 24 CFR 570.200(a)(2):

1. At least 70% of the program's participants must have low or moderate income as defined by HUD.
2. The project must eliminate slum and blight as defined by HUD.
3. The project must meet an urgent need designated as an emergency (e.g., by the Pleasanton City Council).

The following activities are eligible for CDBG funding per 24 CFR 570.201:

- Acquisition of real property for any public purposes other than the general conduct of government.
- Disposition of property acquired with Community Development Block Grant (CDBG) funds.



- Acquisition, construction, rehabilitation, or installation of public facilities and improvements carried out by the City or other public or private nonprofit entities.
- Public services (limited to 15% of the City's total CDBG grant) which are directed toward improving the community's public services and facilities, including, but not limited to, those concerned with employment, Welfare Reform, child care, health, drug abuse, education, job training assistance, recreational needs, crime prevention, or energy conservation. To qualify, a public service must be either a new service or a quantifiable increase over the previous year and must benefit seventy percent (70%) low/moderate income persons.
- Removal of architectural barriers, which restrict the mobility, or access of elderly and/or persons with disabilities. All publicly and privately owned buildings and facilities are eligible for funding.
- Rehabilitation and preservation activities for:
 - Low and moderate-income owner-occupied houses.
 - Low and moderate-income public housing.
 - Publicly owned non-residential buildings and improvements otherwise eligible for assistance.
 - Publicly or privately owned historic properties.
 - Closed school buildings to be converted for use as an eligible commercial or industrial facility, public facility, or for housing.
 - Low and moderate-income senior housing.
 - Business that agree to hire and/or serve low and moderate-income persons.
- Activities designed to create or retain jobs. All jobs created within the applicant's project are required to be permanent and at least 51 percent of the total amount must be for persons of low and moderate income.

The following activities are ineligible for funding through CDBG (24 CFR 570.207):

- Buildings for the general conduct of government. This includes operating and maintenance expenses. Exceptions are operation and maintenance expenses associated with public service activities, interim assistance, and CDBG program staff.
- General government expenses except to carry out the CDBG program.
- Political or religious activities.
- Construction equipment.
- Fire protection equipment unless an integral part of a public facility.
- Personal furnishing and property.
- Food not related to direct service delivery to clients.
- Furnishings that are not integral structural fixtures.
- New housing construction except for land acquisition and other specific circumstances
- Income payments and other subsistence payments made to individuals or a family.



2) HOME Investment Partnership Program (HOME)

The City of Pleasanton participates with other jurisdictions in the Alameda County HOME Consortium for the purpose of receiving and administering federal HOME (HOME Investment Partnership Program) funds. Pleasanton receives approximately \$150,000 in HOME funds each year through Alameda County.

The Consortium adopted a Five-Year Consolidated Plan in May 2005 covering fiscal years 2005 through 2009 and will adopt an updated Plan in 2010 for fiscal years 2010 through 2014. Each year, participating jurisdictions update the Consolidated Plan with one-year Action Plans which outline resources and activities to be undertaken during the next fiscal year regarding issues relating to housing and community development.

As with federal CDBG funds, the City enters into a contract with HUD to agree to implement the applicable HOME program regulations found in 24 CFR part 92 established by Title II of the Cranston-Gonzales National Affordable Housing Act of 1990. Information regarding the HOME program regulations can be obtained on HUD's website (www.hud.gov) and in Appendix B of this manual.

All HOME-funded activities must meet at least one of the National Objectives stated in Title 42, Chapter 130, Subchapter II, Section 202:

1. Expand the supply of rental housing that is affordable to very low and low-income persons and families.
2. Improve homeownership opportunities to very low and low-income persons and families.
3. Expand the capacity of non-profit providers of lower income housing.
4. Encourage private-sector participation in the development of lower income housing.

Participating Jurisdictions, or PJs [e.g., *the City of Pleasanton as a member of the Alameda County HOME Consortium*], may choose among a broad range of eligible activities per 24 CFR 92.205 and 92.206:

- Provide home purchase or rehabilitation financing assistance to eligible homeowners and new homebuyers.
- Build or rehabilitate housing for rent or ownership or for "other reasonable and necessary expenses related to the development of non-luxury housing," including site acquisition or improvement, demolition of dilapidated housing to make way for HOME-assisted development, and payment of relocation expenses.
- Provide tenant-based rental assistance contracts of up to two (2) years if such activity is consistent with their Consolidated Plan and justified under local market conditions.

Some special conditions apply to the use of HOME funds. HOME-assisted rental housing must comply with certain rent limitations (rent limits are published each year by HUD). The program also establishes maximum per unit subsidy limits and maximum purchase-price limits. PJs must match every dollar of HOME funds used (except for



administrative costs) with 25 cents from nonfederal sources, which may include donated materials or labor, the value of donated property, proceeds from bond financing, and other resources. The match requirement may be reduced if the PJ is distressed or has suffered a Presidentially declared disaster. In addition, PJs must reserve at least 15 percent of their allocations to fund housing to be owned, developed, or sponsored by experienced, community-driven nonprofit groups designated as Community Housing Development Organizations (CHDOs).

PJs must ensure that HOME-funded housing units remain affordable in the long term (20 years for new construction of rental housing; 5-15 years for construction of homeownership housing and housing rehabilitation, depending on the amount of HOME subsidy). PJs have two years to commit funds (including reserving funds for CHDOs) and five years to spend funds.

The following activities are ineligible for funding through HOME (24 CFR 92.214):

- Project reserve accounts or operating subsidies
- Tenant-based rental assistance in conjunction with a rental rehabilitation program or for a specific project.
- Match for other federal programs except McKinney Act funds
- Operations or modernization of public housing.
- Acquisition of City-owned property.
- Committing additional HOME funds after a project has been complete for over one year.

3) City Lower Income Housing Funds for Housing

Since 1978, the City of Pleasanton has charged an in-lieu fee from developers in order to address the need for affordable housing related to new growth (residential and commercial). The fees have been used to create a Lower Income Housing Fund (LIHF) with the following established purposes:

- Creating new affordable lower income and moderate income housing to offset the impacts of new development, both residential and commercial/office/industrial, on the City's housing stock.
- Enabling the City to meet the portion of its lower income and moderate income housing needs as identified in the General Plan Housing Element which cannot be adequately met through other measures such as inclusionary programs and market rate projects.

LIHF funds shall be used for projects or activities which benefit Pleasanton residents and/or workers and which address the goals policies and programs of the General Plan Housing Element for affordability level, housing tenure, and other priority issues. Priority is given to projects which address the housing needs of very low income, low income, and moderate income households according to the level of need established in the General Plan Housing Element. Priority is also given to projects or activities that create new affordable housing through new construction, acquisition, rehabilitation, extending the term of affordability, and



similar methods. The portion of the LIHF which is derived from fees collected from commercial, office, and industrial development is maintained separately from fees derived from residential development and may be used solely for activities aimed at providing lower income housing for Pleasanton workers.

In July 1994, the City Council adopted a set of policies and guidelines for use of the LIHF (Resolution No. 94-80). The eligible uses for the LIHF are summarized below:

- Property acquisition
 - Purchase of land for new construction
 - Purchase of existing housing units for conversion, redevelopment or rehabilitation
 - Purchase of existing affordable housing units to preserve affordability
- New construction
 - Payment of costs associated with construction of new rental or ownership housing for very low or low income households
 - Payment of costs associated with construction of new ownership housing for moderate income, first-time home buyer households
- Rehabilitation
 - Projects or activities that increase the City's stock of low and very low income rental and ownership housing
 - Projects or activities related to the physical maintenance of rental and ownership housing occupied by very low or low income households
- Housing counseling / public services related to housing
 - Provision of counseling and similar assistance where the goal is to expand housing opportunities in Pleasanton for low and very low income households (must benefit at least 70% low income households)
- Financial activities
 - Direct and indirect financial assistance to projects that provide affordable housing
 - Funding for second mortgage and down payment assistance programs for moderate, low, and very low income households

The use of the LIHF is subject to review and approval by the City Council on a case-by-case basis to ensure consistence with the City's adopted policies.

Resolution No. 94-80 specifically states that, if deemed appropriate, the City Council may establish a target or cap each year for the amount of the LIHF which may be made available to supplement the CDBG program in conjunction with the annual CDBG funding allocation process. In the past, the City has allocated approximately \$50,000 to \$100,000 annually from the LIHF to supplement housing-related projects that have applied for CDBG funding and are consistent with the uses established for the LIHF. This supplement has enriched the City's grant program and has allowed the City to extend the benefits provided through federal sources such as CDBG and HOME to benefit a larger number of Pleasanton residents.



4) City General Funds for Human Services (formerly "City Grant")

Since 1994, the City of Pleasanton has implemented a modest annual "City Grant" program to provide financial support for non-profit, community-based organizations through the City's General Fund. The mission statement for the program is simple: "to enhance the quality of life in Pleasanton." Funding has been allocated according to the following three (3) categories:

1. Human Services
2. Youth Programs and Services
3. Civic Arts / Culture

The total amount of funding allocated each year through the City Grant program has ranged from approximately \$30,000 to \$190,000, with the highest level of funding (approximately \$130,000 annually) going to the category of human services.

In 2009, the City Council approved changes to the City's grant process to move the portion of funding formerly allocated for human services through the City Grant program to the new Housing and Human Services Grant (HHSG) program. The smaller Youth and Civic Arts grant programs will continue to be administered separately as the new "Community Grant" program.

The following general activities are eligible for City General Fund grants for human services:

- Seed funding (new projects/programs)
- Capital projects (permanent or semi-permanent physical facility amenity)
- Operational (direct services only; administrative expenses are ineligible)

The priority for this HHSG funding category is for projects and activities that promote a healthy community, with emphasis on projects that address service network gaps and shortcomings as identified in the Tri-Valley Human Services Needs Assessment (May 2003).

Inasmuch as the amount of funding available through the General Fund is limited, priority will be given to those applications requesting one-time-only or matching funds and that serve a large number of Pleasanton residents.

In order to meet City financial and accounting requirements, projects funded with General Funds must have a start-up date not earlier than July 1 and must be completed by June 30 of the applicable fiscal year (i.e., funds cannot be expended prior to July 1 or after June 30).





ATTACHMENT 6:

Annual Income Limits by Household Size

(U.S. Dept. of HUD, Dec. 11, 2012)

This table is provided to assist with completing applicable questions in the HHSG application (e.g., #17, #37, etc.).

Family Size (Persons)	<u>Extremely Low</u> (30% of AMI)*	<u>Very Low</u> (50% of AMI)	<u>Low</u> (80% of AMI)	<u>Median</u> (100% of AMI)	<u>Moderate</u> (120% of AMI)
1	\$18,750	\$31,200	\$49,950	\$62,450	\$74,950
2	\$21,400	\$35,700	\$57,100	\$71,350	\$85,650
3	\$24,100	\$40,150	\$64,200	\$80,300	\$96,350
4	\$26,750	\$44,600	\$71,350	\$89,200	\$107,050
5	\$28,900	\$48,150	\$77,050	\$96,350	\$115,600
6	\$31,050	\$51,750	\$82,800	\$103,450	\$124,150
7	\$33,200	\$55,300	\$88,500	\$110,600	\$132,750
8	\$35,300	\$58,850	\$94,200	\$117,750	\$141,300

* (**Area Median Income)**

Source: U.S. Department of Housing and Urban Development (HUD), data for the Oakland Standard Metropolitan Statistical Area (SMSA). The 2013 median income level for a family of four is \$89,200 (HUD, 12/11/2012). HUD updates this income chart each year.

ATTACHMENT 7:
City of Livermore FY2013-2014
Housing and Human Services Grant Program
Summary of Applications Received
February 1, 2013

Application Count	Agency Name	Proposal Title	Category	Funds Requested
1	Axis Community Health	Pre-Development for Capital Project	Capital	\$ 150,000
2	City of Livermore	Neighborhood Preservation and Housing Improvement Program	Capital	\$ 30,000
3	Neighborhood Solutions	City of Livermore Housing Rehabilitation Program	Capital	\$ 100,000
4	Bay Area Community Services, Inc.	Valley Wellness Center	Disabled/Special Needs	\$ 5,000
5	Community Resources for Independent Living	Housing & Independent Living Services for People with Disabilities	Disabled/Special Needs	\$ 10,000
6	East Bay Innovations	Ramping Up for Independence (RU4I)	Disabled/Special Needs	\$ 7,500
7	Easter Seals Bay Area	Community Integration Project	Disabled/Special Needs	\$ 10,000
8	Open Heart Kitchen	Open Heart Kitchen Meal Program	Food	\$ 20,000
9	Tri-Valley Haven	Tri-Valley Haven's Food Pantry	Food	\$ 20,000
10	ValleyCare Health System	Meals on Wheels & HeadStart Kitchen Project	Food	\$ 12,000
11	Abode Services	Project Independence	Housing	\$ 97,000
12	Abode Services	Tri-Valley Housing Scholarship Program	Housing	\$ 123,925
13	Eden Council for Hope and Opportunity	Housing Counseling Services	Housing	\$ 30,000
14	Tri-Valley Haven	Shiloh Domestic Violence Shelter and Services	Housing	\$ 20,000
15	Tri-Valley Haven	Sojourner House Homeless Shelter	Housing	\$ 20,000
16	Axis Community Health	Access to Health Care for Uninsured, Low-income Livermore Residents	Medical/Health	\$ 25,000
17	CAPE Inc	CAPE Mental Health Services	Medical/Health	\$ 7,000
18	Tri-City Health Center	HOPE Project	Medical/Health	\$ 20,000
19	Eden I&R, Inc.	2-1-1 Alameda County	Other	\$ 25,000
20	Legal Assistance for Seniors	Legal Services for Livermore Seniors	Seniors	\$ 10,000
21	Senior Support Program of the Tri Valley	Case Management	Seniors	\$ 10,000
22	Spectrum Community Services	Congregate Meals Program for Livermore's Elderly	Seniors	\$ 17,831
23	Spectrum Community Services	Meals on Wheels for Livermore's Homebound Elderly	Seniors	\$ 18,066
24	CALICO Center	Livermore Child Abuse Intervention Project	Youth	\$ 10,000
25	Kidango, Inc.	Incredible Families	Youth	\$ 7,000
26	Livermore Area Recreation and Park District	LARPD Community Support Program	Youth	\$ 15,000
27	Livermore Valley Joint Unified School District	Community Children's Health Access	Youth	\$ 5,000
28	Livermore Valley Joint Unified School District	Livermore BELIEVES	Youth	\$ 20,000
29	Marylin Avenue School - LVJUSD	Project Roadrunner	Youth	\$ 20,000
30	Tri-Valley YMCA	Build Assets, Reduce Bullying	Youth	\$ 7,500
31	Tri-Valley YMCA	College and Career Planning - Livermore Expansion	Youth	\$ 15,000
32	Twin Valley Learning Center	Subsidized Tutoring for At-Risk Children	Youth	\$ 5,000
			TOTAL FUNDING REQUESTED	\$ 892,822

Requested Capital Funds	\$ 280,000
Requested Public Service Funds	\$ 612,822



U.S. Department of Housing and Urban Development
San Francisco Regional Office - Region IX
600 Harrison Street
San Francisco, California 94107-1387
www.hud.gov
espanol.hud.gov

RECEIVED

JAN 29 2013

ATTACHMENT 8:

JAN 24 2013

Honorable Jerry Thorne
Mayor
City of Pleasanton
P.O. Box 520
Pleasanton, CA 94566

Dear Mayor Thorne:

SUBJECT: City of Pleasanton, California
Annual Community Assessment Report
Program Year 2011

The Office of Community Planning and Development (CPD) has completed its annual review of the City of Pleasanton's Consolidated Annual Performance and Evaluation Report (CAPER) for Program Year (PY) 2011. The review is done to evaluate the City's management and administration of its Community Development Block Grant (CDBG), Home Investment Partnership Program (HOME), and other Federal program funds. It also allows us an opportunity to observe the City's progress in meeting the goals of its 2010-2015 Consolidated Plan and the extent to which it is preserving and developing decent, safe, affordable housing; creating suitable living environments; and expanding economic opportunities for low and moderate-income people.

The City of Pleasanton is a direct CDBG recipient. In PY 2011, the City received a grant of \$249,510. This grant was combined with \$48,804 in program income for a total of \$298,314. During that period, the City spent \$296,762 in CDBG funds. This expenditure rate for CDBG was enough for the City of Pleasanton to meet the timeliness requirement as stated at 24 CFR 570.902.

Our review of the City's CAPER shows that the City has complied with the eligibility and national objective requirements of the CDBG program. All of Pleasanton's funded activities principally benefitted low and moderate-income residents and its activities were also consistent with its goals and objectives identified in its 2010-2015 Consolidate Plan and PY 2011 Annual Action Plan. As in previous years, the City also used a combination of other federal, State, and local funding sources including, HOME, California Housing Finance Agency funds, the City's Lower-Income Housing Fund, and money from the General Fund to improve the living conditions of its low- and moderate-income residents.

According to the Consolidated Plan, there were many obstacles to the City's goal of increasing and/or preserving affordable rental and ownership housing. These included a severe shortage of affordable housing stock for renters and homeowners, the staggering cost of housing, and a decrease in the amount of subsidies for existing rental programs. Additionally, many subsidized housing units were facing conversion to market-rate housing, which explains why

increasingly larger numbers of certificates or vouchers were being returned to housing authorities, as the participants were unable to find landlords who were willing to accept them. In order to meet these challenges, the City implemented actions to:

- Increase the number of affordable rental housing units through construction and rehabilitation;
 - Preserve existing affordable rental and ownership housing through programs such as Below Market Rate (BMR) rental agreements and the Pleasanton Homeownership Assistance Program;
 - Assist low- and moderate-income, first-time homebuyers through mortgage assistance and lease-purchase programs; and assist in land acquisition for the construction of affordable ownership units.
-

During the year, access to decent, affordable housing is provided through the City's housing rehabilitation program and a Down Payment Assistance program while the public services continue to focus on providing funding to community assistance agencies such as Axis Community Health, Open Heart Kitchen, Spectrum Community Services, Tri-Cities Health Center, and Tri-Valley Haven. During the 2011 Program Year, the City reported that it extended the Affordable Housing Agreement with Windstar Properties and Bay Area Rapid Transit (BART) for a 350-unit transit-oriented apartment complex planned for construction next to the new West Dublin/Pleasanton BART station near the Stoneridge Mall. The complex is planned to include 70 units for very low-income families at 50% of the Area Median Income. This will increase the City's affordable rental housing supply considerably.

According to the Alameda Countywide Homeless Continuum of Care Plan, there are between 9,000 to 16,500 homeless people within Alameda County on any given night. Moreover, approximately 30 to 49 percent of the homeless population is comprised of families. During PY 2011 the City of Pleasanton continued to be an active member of the Alameda County Continuum of Care. In its Consolidated Plan the City proposed to address homeless needs by maintaining and improving the capacity of the current shelter system and by preventing those currently housed from becoming homeless. During PY 2011, all activities designed to assist the homeless or to prevent people from becoming homeless were on target. To date, a total of 225 homeless families and individuals have been assisted with transitional and permanent housing during the reporting program year.

The City's CAPER reflects its efforts and accomplishments relative to goals, outputs, and outcomes established at the beginning of the Program Year. Our review found that the City continues to make great progress in addressing and exceeding its performance goals. In addition, we have determined that the City has demonstrated continued capacity to implement and administer its programs.

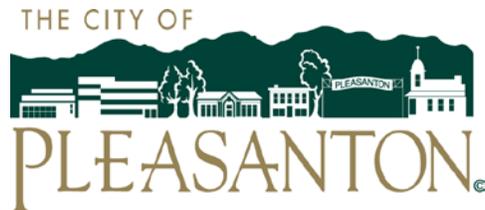
We look forward to continuing our partnership with the City of Pleasanton. If you have any questions, please do not hesitate to contact me at (415) 489-6572 or HUD Community Planning and Development Representative Hataitip Bufiy Feng at (415) 489-6573.

ORIGINAL SIGNED BY
MARIA F. CREMER

Maria Cremer
Acting Director
Community Planning and Development Division

cc:
Mr. Nelson Fialho
City Manager
✓ Mr. Scott Erickson
Housing Specialist

ATTACHMENT 9:



**Human Services Commission
Statement of Priorities for FY 2013-14 HHSG
(Housing and Human Services Grant) Program**

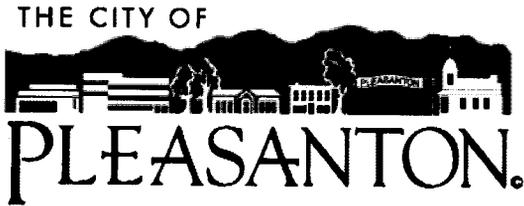
November 7, 2012

In the process of reviewing applications for HHSG funds for FY 2013-14, the Pleasanton Human Services Commission (HSC) will prioritize its funding decisions based on projects that meet the following general criteria:

- Projects that prioritize the “core services” identified in the recently adopted “2011 Human Services Needs Assessment” (dental care, primary health care, mental health, food/nutrition, and housing activities).
- Innovative proposals that demonstrate service coordination and collaboration

In addition to the typical funding allocations, the Human Services Commission has a particular interest in bringing primary dental services to the Pleasanton population identified in the Needs Assessment. The Commission will view uniquely any proposals that, in a cost effective way, would provide additional dental services to the population served through the HHSG program.

Agencies shall also be required to register with Alameda County 2-1-1 and Ptownlife.org as a condition of receiving HHSG funds.



Housing Commission Minutes

City Council Chambers, 200 Old Bernal Ave., Pleasanton, CA

**January 17, 2013
7:00 p.m.**

CALL TO ORDER – PLEDGE OF ALLEGIANCE

Chairperson Probert called the meeting to order at 7.00 p.m. on Thursday, January 17, 2013, in the City Council Chambers, 200 Old Bernal Avenue, Pleasanton, California.

The Pledge of Allegiance was recited, led by Chairperson Probert.

Roll call:

Present: Chairperson Justin Probert, Vice Chairperson John Casey, Commissioners Joseph Butler, Colleen Lopez, Daniel Mermelstein, and Ann Welsh

Absent: None

Staff: Scott Erickson, Housing Specialist; and Edith Caponigro, Recording Secretary

AGENDA AMENDMENTS

Item 10 (City Council priorities) will be continued until the meeting of February 21, 2013.

MINUTES

1. **Approve Regular Meeting Minutes of November 15, 2012**

Correction: Item 6, paragraph 4, deletion: ...were being discounted ~~because of the electricity savings from the solar installation...~~

A motion was made by Commissioner Welsh, seconded by Commissioner Butler, to approve the minutes from the meeting of November 15, 2012, with the changes discussed. **The motion was approved unanimously. Commissioner Lopez abstained.**

CONSENT CALENDAR

2. **Approval of the November and December 2012 Financial Reports for Ridge View Commons and Kottinger Place**

3. **Management Updates for Kottinger Place and Ridge View Commons**
4. **Quarterly Update Regarding Miscellaneous Housing Projects and Issues (4th Quarter 2012)**
5. **Quarterly Report of Housing Commission Activities (4th Quarter 2012)**
6. **Quarterly Inventory of Below-Market Housing in Pleasanton (4th Quarter 2012)**

Barry Cammer, Barcelon Associates, provided an updated report on the budget for Kottinger Place advising that the \$23,000 allotment for Operating Subsidy was more than had been anticipated.

Chairperson Probert questioned whether water and utility expenses at Kottinger Place had been a challenge for management. Mr. Cammer advised that more water is still being used than should be for the size of the complex and high usage is due to landscape irrigation. Management is working to try to determine where the problem lies.

Mr. Erickson provided Commissioner Welsh with information from a recent HUD meeting attended by staff and the ideas received regarding the disposition process for redeveloping Kottinger Place. He noted that MidPen will be reviewing several options and will provide additional details to the Task Force at a meeting that will likely take place in March or April.

Commissioner Casey discussed with Mr. Erickson HUD's core interest in the Kottinger Place project. Mr. Erickson provided details on why HUD is pursuing the Rental Assistance Program.

A motion was made by Commissioner Casey, seconded by Lopez, to approve the consent calendar. **The motion was approved unanimously.**

MEETING OPEN TO THE PUBLIC

7. **Introductions / Awards / Recognitions**

There were none.

8. **Public Comment from the audience regarding items not listed on the agenda**

There were none.

PUBLIC HEARINGS AND OTHER MATTERS

9. **Review and Comment Regarding Proposed Lower Income Housing Fee Nexus Study**

Mr. Erickson informed the Commission that the City recently decided to review its Lower Income Housing Fee (LIHF) to bring it in line with recent changes in the housing development industry, legal environment and housing market. The City recently contracted with Economic & Planning Systems (EPS), Inc., a consultant firm based in Berkeley, to update the nexus study which forms the basis for the LIHF.

Darin Smith and Michael Nimon from EPS reviewed with the Commission a PowerPoint presentation entitled "Pleasanton Inclusionary Housing Nexus Study Overview and Comparable Fees". Mr. Smith advised that EPS has been at the forefront of evolving affordable housing

policy. He discussed legal changes, economic circumstances, and public agency resources. Additionally, Mr. Smith provided information about:

- Current and recommended number of units based on inclusionary policy and the income levels set by the policy
- Residential lower-income housing fee
- Proposed nexus-based fee calculations
- Commercial/office/industrial fee
- Methodology and recommended adjustments

Commissioners had questions regarding:

- Anticipated impacts to the Pleasanton community
- How jobs creation within the city was used in calculations
- What costs were to the community to subsidize units
- Whether other communities were using the sales approach to calculate affordable housing
- If the City of Pleasanton had a policy regarding varying fees
- Anticipated length of time to complete the current study
- Whether the Housing Element contained any details pertaining to LIHF
- If developers could be "maxed out" in fees by using this analysis
- Using predictable indexing for developers
- How fees generated by current policy compared with those of other municipalities

Mr. Smith informed the Commission that when he next meets with the Commission he would provide them with actual math and documentation for them to consider.

Chairperson Probert opened the meeting for public comment at 8:00 p.m.

Becky Dennis, Pleasanton – advised the Commission that she was excited that a nexus study was being conducted but believes that an approach she has suggested in a letter presented to the Commission dated January 17, 2013, was different from that being suggested by EPS.

Ms. Dennis' comments and questions included the following:

- The study should address how the City plans to attain its assigned housing targets
- Plan for re-purposing of commercial properties
- Designing the affordable housing fee to meet State housing production targets should be fairly safe from a legal standpoint
- Since the City has more multi-family housing units now, it makes sense to set fees and restrict the use of the LIHF in order to meet the city's RHNA, for Low, Very Low, and Extremely Low Income housing
- LIHF policy objectives should emphasize providing housing for lower paid workers currently living in and commuting from outside Pleasanton

Ms. Dennis noted that when setting a fee, the availability of adequate resources for affordable housing outweighs concerns that a truly sufficient fee would constrain job growth. Statistics show that businesses in Pleasanton employ 39% of the workforce at below the median income for Alameda County.

Commissioner Welsh had questions regarding the Lower Income Housing Funds that have been collected in the past but have not yet been committed or used.

Commissioner Butler discussed the recent allocation of funds from the LIHF and felt funds would be used as units as additional units are put in place. Ms. Dennis felt growth management needed to be regulated.

Mr. Erickson provided information about the allocation of funds from LIHF for projects and advised that funds have also been earmarked for the Kottinger Place redevelopment project. Commissioner Casey requested Mr. Erickson to provide the Commission with a table outlining the allocation of funds so they can review at the February meeting.

Ms. Dennis did not feel that funds should be allocated from the LIHF for moderate income housing and that no incentives should be given to developers for housing affordable at anything above low income.

Chairperson Probert closed the meeting for public comment at 8:15 p.m.

Mr. Smith informed the Commission that updating of RHNA targets had been rejected in other areas which is why it could not be addressed in the current study.

10. Review of City Council Priorities

This item was continued until the meeting of February 21, 2013.

11. Appointment of New Officers (Chairperson and Vice Chairperson) for 2013

Mr. Erickson asked that the Commission nominate and elect a Chairperson and Vice Chair to serve a one-year term for 2013. He also asked that the Commission review assignments to committees and boards.

Commissioner Casey advised that he would like to step down as the Commission representative to the Kottinger Place Task Force to enable other Commissioners to be involved in the project and to allow time for his involvement on the East Pleasanton Specific Plan task force.

Commissioner Butler asked about the rule of succession for the Chair and Vice Chair positions. Mr. Erickson advised that commonly the Vice Chair is nominated and elected to take over as the Commission Chairperson, but that it was not mandatory to follow that procedure.

A motion was made by Commissioner Lopez, seconded by Chairperson Probert, to nominate and elect Commissioner Casey to serve as the Housing Commission Chairperson for 2013, and Commissioner Welsh to serve as the Vice Chair.

ROLL CALL VOTE:

AYES: Commissioners Casey, Lopez, Mermelstein, and Chairperson Probert.
NOES: None
ABSENT: None
ABSTAIN: None

Commissioners reviewed committee and board assignments.

A motion was made by Commissioner Lopez, seconded by Commissioner Mermelstein, to appoint the following board/committee liaisons:

Tri-Valley Housing Scholarship Program (TVHSP) Board of Directors – Justin Probert
Kottinger Place Redevelopment Task Force – Ann Welsh and Justin Probert
East Pleasanton Specific Plan Task Force – John Casey and Joe Butler

ROLL CALL VOTE:

AYES: Commissioners Casey, Lopez, Mermelstein, and Chairperson Probert.

NOES: None

ABSENT: None

ABSTAIN: None

MATTERS INITIATED BY MEMBERS OF THE COMMISSION

There were none.

COMMITTEE REPORTS

Commissioners Butler and Casey provided a report about the East Pleasanton Specific Plan including the results from a recent workshop.

Commissioner Casey advised that land-use ideas had been discussed and he felt that all comments were being taken into consideration by the Task Force. He noted that the engineering of water areas still needs further discussion as well as traffic flow in the area of El Charro Road.

Commissioner Butler indicated that nobody seemed to want to include affordable housing in the area and he believes it will be difficult to get something passed that would have the support of this Commission with respect to affordable housing.

COMMUNICATIONS

Planning Commission Staff Report – January 9, 2013. Reviewed - no comment.

DISCUSSION OF FUTURE MEETING AGENDAS

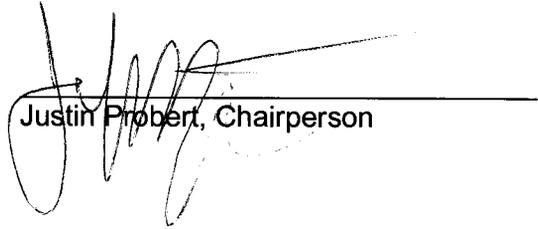
Mr. Erickson stated that the February 2013 meeting is tentatively scheduled to include the following items:

- Review of affordable housing proposals for two proposed high-density residential developments (RREEF / California Center and St. Anton Partners)
- Information regarding a new regional down payment assistance program
- Continued Item 10 – Review of City Council Priorities
- Updated information on Lower Income Housing Fund
- Tri-Valley Housing Opportunity Center

ADJOURNMENT

The meeting was adjourned at 8:48 p.m. by unanimous consent.

DATED: January 17, 2013


Justin Probert, Chairperson

ATTEST:


Steven Bocian, Assistant City Manager