



**LEVEL OF ENVIRONMENTAL REVIEW DETERMINATION**

**Project Name / Description:** List of FY 2014 HOME (HOME Investment Partnership Program) projects attached.

**Level of Environmental Review:**

*Categorically Exempt not subject to statutes per 24 CFR 58.34 (a), and Categorically Excluded per 24 CFR 58.35(b), converting to Exempt, not subject to statutes per 24 CFR 58.5.*

**STATUTES and REGULATIONS listed at 24 CFR 58.6**

**FLOOD INSURANCE / FLOOD DISASTER PROTECTION ACT**

1. Does the project involve the acquisition, construction or rehabilitation of structures, buildings or mobile homes?

No; flood insurance is not required. The review of this factor is completed.

Yes; continue.

2. Is the structure or part of the structure located in a FEMA designated Special Flood Hazard Area?

No. Source Document (FEMA/FIRM floodplain zone designation, panel number, date): (Factor review completed).

Yes. Source Document (FEMA/FIRM floodplain zone designation, panel number, date):

(Continue review).

3. Is the community participating in the National Insurance Program (or has less than one year passed since FEMA notification of Special Flood Hazards)?

Yes - Flood Insurance under the National Flood Insurance Program must be obtained and maintained for the economic life of the project, in the amount of the total project cost. A copy of the flood insurance policy declaration must be kept in the Environmental Review Record.

No (**Federal assistance may not be used in the Special Flood Hazards Area**).

**COASTAL BARRIERS RESOURCES ACT**

1. Is the project located in a coastal barrier resource area? (See [www.fema.gov/nfip/cobra.shtm](http://www.fema.gov/nfip/cobra.shtm)).

No; Cite Source Documentation: Pleasanton is not located in a coastal zone (City of Pleasanton General Plan, 2010; [www.fema.gov/nfip/cobra.shtm](http://www.fema.gov/nfip/cobra.shtm)) (This element is completed).

Yes - **Federal assistance may not be used in such an area.**

**AIRPORT RUNWAY CLEAR ZONES AND CLEAR ZONES DISCLOSURES**

1. Does the project involve the sale or acquisition of existing property within a Civil Airport's Runway Clear Zone, Approach Protection Zone or a Military Installation's Clear Zone?

No; cite SD, page: Pleasanton General Plan/Airport Protection Area, Figure 5-10 Project complies with 24 CFR 51.303(a)(3).

Yes; **Disclosure statement must be provided** to buyer and a copy of the signed disclosure statement must be maintained in this Environmental Review Record.

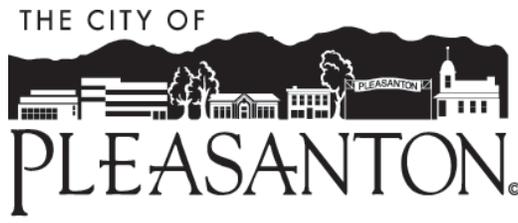
Scott Erickson  
Preparer Signature / Name /Date

Scott Erickson, Housing Specialist 6/19/2014

Linda Gardner  
Responsible Entity Official Signature / Title/ Date

Linda Gardner, Housing Director 6/19/2014

Alameda County Housing & Comm. Dev.



## FY 2014 CDBG/HOME Environmental Review Determination List

Prepared by: Scott Erickson, Housing Specialist

The following projects have been determined to be Categorically Exempt or Converting to Exempt per 24 CFR 58.35(b) and not subject to statutes listed at 24CFR 58.5:

Proj. No.	Agency	Project Name	Funding	Categorical Citation
14000	City of Pleasanton	Administration of CDBG Program	\$53,253 (CDBG)	<b>Exempt per 24 CFR 58.34(a)(3)</b>
14004A	Axis Comm. Health	Funding for New Clinic Capital Project	\$100,000 (CDBG)	<b>Exempt per 24 CFR 58.34(a)(1)</b>
14005	Neighborhood Solutions	Housing Rehabilitation Program	\$73,074 (CDBG)	<b>[HUD-7015.16 approved 8/3/2011; applicable through 6/30/2015]</b>
14103	Neighborhood Solutions	Housing Rehabilitation Program	\$35,000 (HOME)	<b>[HUD-7015.16 approved 8/3/2011; applicable through 6/30/2015]</b>
14006	Spectrum Comm. Svcs.	Meals-on-Wheels Home Delivered Meal Program	\$5,000 (CDBG)	<b>Exempt per 24 CFR 58.34(a)(4)</b>
14011A	Open Heart Kitchen	Hot Meal Program for Low-Income Seniors	\$9,000 (CDBG)	<b>Exempt per 24 CFR 58.34(a)(4)</b>
14011B	Open Heart Kitchen	Hot Meal and Weekend Box Lunch Program for Low-Income Residents	\$8,000 (CDBG)	<b>Exempt per 24 CFR 58.34(a)(4)</b>
14011C	Open Heart Kitchen	Congregate Meal Program for Low-Income Seniors	\$17,939 (CDBG)	<b>Exempt per 24 CFR 58.34(a)(4)</b>
14104	Abode Services	Housing Scholarship Program Tenant-Based Rental Assistance	\$50,000 (HOME)	<b>Categorically Excluded per 24 CFR 58.35(b), converting to Exempt</b>
14100	City of Pleasanton	Administration of HOME Program	\$5,113 (HOME)	<b>Exempt per 24 CFR 58.34(a)(3)</b>

A complete Environmental Impact Statement (EIS) was completed for the following project on 8/25/2013 and authorized by HUD on 10/7/2013:

14102	MidPen Housing Corp.	Kottinger Gardens Senior Apartments Project	\$450,000 (HOME)	<b>Finding of No Significant Impact</b>
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**Authority to Use  
Grant Funds**

**U.S. Department of Housing and Urban Development  
Office of Community Planning and Development**

**To:** (name & address of Grant Recipient & name & title of Chief Executive Officer)

Linda Gardner  
Housing Director  
Alameda County Housing and Community Dev.  
224 W. Winton Avenue, Room 108  
Hayward, CA 94544

**Copy To:** (name & address of SubRecipient or Secondary Contact)

We received your Request for Release of Funds and Certification, form HUD-7015.15 on

9-20-2013

Your Request was for HUD/State Identification Number

All objections, if received, have been considered. And the minimum waiting period has transpired. You are hereby authorized to use funds provided to you under the above HUD/State Identification Number. File this form for proper record keeping, audit, and inspection purposes.

**Program:** HOME Investment Partnership Program

**Project:** Kottinger Senior Housing  
240 and 251 Kottinger Drive, 4138 Vineyard Avenue, and 4153 Regalia Court  
Pleasanton, Alameda County, CA 94566

**Description:** Develop up to 189 units of affordable housing for low-income senior citizens on an approximately 6.2-acre site. The developer proposes to demolish the existing improvements and redevelop the site. The existing improvements include Kottinger Place senior apartments with 50 units, Pleasanton Gardens senior apartments with 40 units, Regalis House, a city-owned building and a vacant lot. A total of 29 one-story buildings will be demolished. New construction of up to 189 units will be housed in two multi-story buildings and single story homes.

**Note:** Objections for the CDBG and HOME programs should be addressed to Maria Cremer, Director, Community Planning and Development, and *not* to Velma C. Navarro, Director, Office of Public and Indian Housing.

**Cc:**  
✓ Kelly Thiemann, HCD Manager

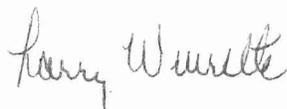
ALCO - HCD  
2013 OCT 22 AM 11:54

Typed Name of Authorizing Officer:  
Maria F. Cremer

Signature of Authorizing Officer

Release Date:

Title of Authorizing Officer  
Director of CPD



October 7, 2013

**Authority to Use  
Grant Funds**

**U.S. Department of Housing and Urban Development** AUG 4 2011  
Office of Community Planning and Development

<p><b>To:</b> (name &amp; address of Grant Recipient &amp; name &amp; title of Chief Executive Officer)</p> <p>Mr. Steven Bocian Assistant City Manager City of Pleasanton 123 Main Street PO Box 520 Pleasanton, CA 94566-0802</p>	<p><b>Copy To:</b> (name &amp; address of SubRecipient or Secondary Contact)</p>
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<p>We received your Request for Release of Funds and Certification, form HUD-7015.15 on</p>	<p>7-18-2011</p>
<p>Your Request was for HUD/State Identification Number</p>	<p>B-10-MC-06-0050</p>

All objections, if received, have been considered. And the minimum waiting period has transpired. You are hereby authorized to use funds provided to you under the above HUD/State Identification Number. File this form for proper record keeping, audit, and inspection purposes.

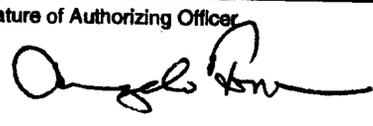
**Program:** Community Development Block Grant (CDBG)

**Project:** Housing Rehabilitation  
Incorporated area of the City of Pleasanton, Alameda County, California

**Project Description:** Assist low-income homeowners with the maintenance of their properties including minor home repair (e.g., water heaters, smoke detectors, energy efficiency improvements, accessibility improvements, painting, clean-up, etc.) and major rehabilitation improvements (e.g., roofs, gutters, sewer line replacement, termite and dry rot damage repair, furnaces, electrical/plumbing repair, kitchen & bathroom upgrades, accessibility improvements, insulation, solar electric system, etc.).

**NOTE:**  
In future public notices, please include "3rd Floor" as part of HUD's address.

**Cc:**  
Scott Erickson

<p>Typed Name of Authorizing Officer: Maria Cremer</p> <p><i>for</i></p> <p>Title of Authorizing Officer: Acting Director of CPD</p>	<p>Signature of Authorizing Officer</p> 	<p>Release Date:</p> <p>August 3, 2011</p>
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U.S. Department of Housing and Urban Development  
San Francisco Regional Office - Region IX  
600 Harrison Street  
San Francisco, California 94107-1387  
www.hud.gov  
espanol.hud.gov

## Rehabilitation Environmental Review (RER 2011)

(Previous versions are obsolete)

[This RER tiered review format [per 24CFR §58.15] may only be used for the environmental review of the rehabilitation of existing single-family residential structures, per §58.35(a)(3)(I), with or without the acquisition and/or disposition of the existing structure per §58.35(a)(5). It may also be used for the acquisition/rehabilitation/disposition of individual units in a multi-family structure, but not for the rehabilitation/acquisition/disposition of an entire multi-family building per §58.35(a)(3)(ii), where environmental review using a Statutory Worksheet may be appropriate]. It may not be used for projects involving changes in use or new construction activities.

**Program, project name, and description:** (Include maximum number of units and maximum grant/loan amounts)

**The City of Pleasanton Housing Rehabilitation Program will use up to \$500,000 in CDBG funds and up to \$500,000 in HOME funds during FY2011 through FY2014 to assist low-income homeowners with the maintenance of their properties including minor home repair and major rehabilitation improvements.**

From: (month/year) July 1, 2011

To: (month/year) June 30, 2015

**Area of Consideration:** (Define the geographic area from which applications for this rehabilitation program will be accepted. Attach a composite map showing target area and floodplains).

**Area of Consideration includes the jurisdictional boundaries of the City of Pleasanton. Map and floodplain panels in Environmental Review file are available for review at the City of Pleasanton offices.**

### **INSTRUCTIONS for completing the RER (2009)**

The Factors addressed in this RER review phase apply to the entire **area of consideration**. Prepare an RER form once for the maximum scope and magnitude of each program that meets the criteria of rehabilitation/acquisition/disposition at §58.35(a)(3) and (5), and retain it in the Environmental Review Record (ERR). A new RER must be completed whenever there is a new Consolidated Plan, Public Housing Plan or changes in the area of consideration or changes in environmental conditions which could affect the program. After completion of the RER, publish or disseminate a Notice of Intent to Request Release of Funds (NOI/RROF), according to §58.70. The NOI/RROF shall identify the issues to be addressed in the site-specific review phase (**Appendix A 2009**). Do not commit HUD funds to any specific activity before obtaining the **Authority to Use Grant Funds** (HUD form 7015.16) for the project or before successfully completing a site-specific **Appendix A 2009**.

Site specific factors listed at Sections 58.5 and 58.6 (including historic preservation, explosive/flammable operations, toxic substances, airport clear zones and flood insurance) shall be addressed by completing an **Appendix A** before approving any specific loans or grants. An **Appendix A** shall be completed and maintained for each site to document compliance with these laws and authorities. Documents supporting compliance as well as the implementation of required mitigation measures shall be maintained in the ERR.

### **AREA-WIDE FACTORS**

#### **Floodplain Management**

Is any part of the area of consideration located in a Special Flood Hazard Area (SFHA, i.e. area designated "A" or "V" Zone by FEMA or best available information if the area is unmapped)?

( X ) YES; complete Part I, Floodplain Management, on the back of this form.

( ) NO; provide Source Documentation (FIRM panel number(s) and dates):

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The entire program is in compliance with Executive Order 11988, Floodplain Management.

#### **Coastal Zone Management**

Is any part of the area of consideration within the Coastal Zone according to the California Coastal Commission, Hawaii Coastal Commission, San Francisco Bay Conservation & Development Commission or the Planning Department (for certified Local Coastal Plans)?

( ) YES; complete Part II, Coastal Zone Management, on the back of this form.

(X) NO: provide Source Documentation: **There are no California Coastal Areas or S.F. Bay Conservation District Areas located in the City per California Coastal Commission per review of coastal map data available through the SFBCD dated July 8, 2009.**

The entire project is in compliance with Executive Order 11988 and 24 CFR Part 55.

**NOTES:** Normally, these laws/authorities listed at 24 CFR 58.5 are not invoked by housing rehabilitation projects: Executive Order 11990 Protection of Wetlands; Endangered Species Act, 16 USC 1531 et seq. as amended, particularly 16 USC 1536, 50 CFR 402; Wild and Scenic Rivers Act of 1968, 16 U.S.C. 1271 et. seq., as amended [particularly Sections 7(b) and (c)]; Clean Air Act, 42 U.S.C. 7401 et seq. as amended, particularly section 176(c) and (d), Farmland Protection Act, 7 CFR 658.3(c); Noise Abatement, 24 CFR 51.101(a)(2); Sole Source Aquifers, Memorandum of Agreement between HUD Region IX and U.S. Environmental Protection Agency Region IX, dated 4/30/90, and Executive Order 12898 Environmental Justice. However, if exceptional circumstances require compliance steps with any of these authorities, such compliance must be established and documented prior to approving the site-specific loan or grant.

## Part I FLOODPLAIN MANAGEMENT

1. Will this program involve the following activities within Special Flood Hazard Areas (100 yr. floodplain): a) rehabilitation of single family units costing more than 50% of the market value of the structure before rehabilitation, or b) rehabilitation of units in multifamily residential structures?

( ) Yes, proceed to question #2.

(X) No, compliance with Executive Order 11988 is established by program definition.

2. Comply with Executive Order 11988 by completing the 8-step-decision-making process per 24 CFR §55.20, for the entire area of consideration, before approving rehabilitation activities within a SFHA. **KEEP A COPY OF THIS 8-STEP PROCESS (including copies of all published notices) in the ERR.**

3. Did the 8-step process result in a determination that there is no practicable alternative to carrying out the residential rehabilitation/acquisition/disposition activities within the Special Flood Hazard Area (SFHA)?

( ) Yes; proceed ( ) No; proceed

4. Is the community participating in the National Flood Insurance Program?

( ) Yes; proceed ( ) No; proceed

5. Select only one of the following choices:

( ) Either the answer to question #3 or #4 (or to both) is "no", therefore, rehabilitation activities from this program cannot be approved within a SFHA.

( ) Both answers to questions #3 and #4 are "yes", therefore, rehabilitation activities may proceed in SFHA's, subject to the implementation of mitigation measures identified in the 8 Step Process. \*

(\* NOTE: As an alternative to doing the 8-step process, the responsible entity may choose to revise the boundaries of the area of consideration (target area) to exclude all the Special Flood Hazard Areas (SFHA's). However, properties within the excluded portions would then be ineligible for HUD-assisted rehabilitation loans or grants under this review).

## Part II COASTAL ZONE MANAGEMENT

Complete this part if any part of the area of consideration lies within the designated Coastal Zone.

1. Have all rehabilitation activities under this entire rehabilitation program been found to be consistent with the applicable Coastal Zone Management Plan?

( ) Yes, proceed to next question; ( ) No; proceed to next question.

Source Documentation (attach permit or determination of consistency):

a. If question #1 was answered "Yes" and documented, STOP HERE. Compliance with the Coastal Zone Management Act is established.

b. If question #1 was answered "No", obtain the coastal zone permit or determination of consistency.

**DO NOT APPROVE the loan or grant within the COASTAL ZONE before doing this.**

Scott Erickson, Housing Specialist

Preparer Name and Title



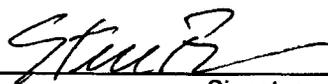
Signature

Date

6/29/2011

Steven Bocian, Assistant City Manager

Responsible Entity, Certifying Officer Name



Signature

Date

6/29/11

## **NOTICE OF INTENT TO REQUEST RELEASE OF FUNDS**

July 2, 2011 (must be published by this date)

City of Pleasanton  
123 Main St.  
P.O. Box 520  
Pleasanton, CA 94566-0802  
925-931-5007

### **REQUEST FOR RELEASE OF FUNDS**

On or about July 15, 2011, the City of Pleasanton will submit a request to the U.S. Department of Housing and Urban Development (HUD) for the release of \$527,000 in Community Development Block Grant entitlement funding under Title I of the Housing and Community Development Act of 1974, Public Law 930383, and \$500,000 of HOME Investment Partnership Program funding under Title II of the Cranston-Gonzalez National Affordable Housing Act of 1990, as amended, Public Law Title 42, Chapter 130 received through its participation in the Alameda County HOME Consortium for the following programs/projects: (1) Residential Rehabilitation Programs, including Rental Rehabilitation, and Housing (Homeowner) Rehabilitation, for the purpose of financial and technical assistance for the rehabilitation of individual owner and renter occupied units serving low- and moderate-income households, citywide; and (2) Residential Rehabilitation Programs, including Housing (Homeowner) Rehabilitation, for the installation of solar electric systems through the GRID Alternatives Solar Affordable Housing Program for individual owner occupied units serving low- and moderate-income households citywide.

The activities proposed are categorically excluded under HUD regulations at 24 CFR Part 58 from National Environmental Policy Act (NEPA) requirements. An Environmental Review Record (ERR) that documents the environmental determinations for this project is on file at the City of Pleasanton Housing Division located at 200 Old Bernal Avenue, Pleasanton, CA 94566 and may be examined or copied weekdays 8 A.M to 5 P.M.

### **PUBLIC COMMENTS**

Any individual, group, or agency may submit written comments on the ERR to the City of Pleasanton Housing Division. All comments received by July 14, 2011 will be considered by The City of Pleasanton prior to authorizing submission of a request for release of funds.

### **RELEASE OF FUNDS**

The City of Pleasanton certifies to HUD that Steven Bocian in his capacity as Certifying Environmental Officer consents to accept the jurisdiction of the Federal Courts if an action is brought to enforce responsibilities in relation to the environmental review process and that these responsibilities have been satisfied. HUD's approval of the certification satisfies its

responsibilities under NEPA and related laws and authorities, and allows the City of Pleasanton to utilize Program funds.

### **OBJECTIONS TO RELEASE OF FUNDS**

HUD will accept objections to the Responsible Entity's (RE) Request for Release of Funds and Environmental Certification for a period of fifteen days following the anticipated submission date specified above or its actual receipt of the request (whichever is later) only if they are on one of the following bases: (a) the certification was not executed by the Certifying Officer of the City of Pleasanton; (b) the City of Pleasanton has omitted a step or failed to make a decision or finding required by HUD regulations at 24 CFR part 58 or by CEQ regulations at 40 CFR 1500-1508, as applicable; (c) the RE has omitted one or more steps in the preparation, completion or publication of the Environmental Assessment or Environmental Impact Study per 24 CFR Subparts E, F or G of Part 58, as applicable; (d) the grant recipient or other participant in the development process has committed funds for or undertaken activities not authorized by 24 CFR Part 58 before release of funds and approval of the environmental certification; (e) another Federal, State or local agency has submitted a written finding that the project is unsatisfactory from the standpoint of environmental quality. Objections must be prepared and submitted in accordance with the required procedures (24 CFR Part 58, Sec. 58.76) and shall be addressed to HUD at: U.S. Department of Housing and Urban Development, Ernest Molins, Regional Environmental Officer, HUD San Francisco Regional Office, Region IX, 600 Harrison St. San Francisco, CA 94107. Potential objectors may contact HUD to verify the actual last day of the objection period.

#### **CERTIFYING OFFICER**

Steven Bocian, City of Pleasanton Assistant City Manager

# Request for Release of Funds and Certification

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development

OMB No. 2506-0087  
(exp. 3/31/2011)

This form is to be used by Responsible Entities and Recipients (as defined in 24 CFR 58.2) when requesting the release of funds, and requesting the authority to use such funds, for HUD programs identified by statutes that provide for the assumption of the environmental review responsibility by units of general local government and States. Public reporting burden for this collection of information is estimated to average 36 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

## Part 1. Program Description and Request for Release of Funds (to be completed by Responsible Entity)

1. Program Title(s) <b>Community Development Block Grant HOME</b>	2. HUD/State Identification Number <b>B-10-MC-11-0050 (CDBG)</b>	3. Recipient Identification Number (optional)
4. OMB Catalog Number(s) <b>14-218 (CDBG) 14-239 (HOME)</b>	5. Name and address of responsible entity <b>City of Pleasanton 123 Main Street P.O. Box 520 Pleasanton, CA 94566-0802</b>	
6. For information about this request, contact (name & phone number) <b>Scott Erickson, Housing Specialist</b>	7. Name and address of recipient (if different than responsible entity)	
8. HUD or State Agency and office unit to receive request <b>Director, Office of Community Planning and Dev. (CPD) Dept. of Housing &amp; Urban Dev., Region 9 600 Harrison Street San Francisco, CA 9410-1300</b>	The recipient(s) of assistance under the program(s) listed above requests the release of funds and removal of environmental grant conditions governing the use of the assistance for the following	
9. Program Activity(ies)/Project Name(s) <b>Small grants and deferred loans for low-income homeowners for the purpose of housing rehabilitation.</b>	10. Location (Street address, city, county, State) <b>Locations to be determined all within the City of Pleasanton, Alameda County, California.</b>	

### 11. Program Activity/Project Description

The City of Pleasanton's Housing Rehabilitation Program will assist low-income homeowners with the maintenance of their properties including minor home repair (e.g., water heaters, smoke detectors, energy efficiency improvements, accessibility improvements, painting, clean-up, etc.) and major rehabilitation improvements (e.g., roofs, gutters, sewer line replacement, termite and dry rot damage repair, furnaces, electrical/plumbing repair, kitchen and bathroom upgrades, accessibility improvements, insulation, solar electric systems, etc.). Activities will be located within the incorporated areas of the City of Pleasanton. Funds will be prioritized for activities that focus on remediating urgent health and safety/slum and blight code violations for low-income homeowners. The City has allocated up to \$500,000 in Community Development Block Grant funds and up to \$500,000 in HOME funds for these activities.

**Part 2. Environmental Certification (to be completed by responsible entity)**

**With reference to the above Program Activity(ies)/Project(s), I, the undersigned officer of the responsible entity, certify that:**

1. The responsible entity has fully carried out its responsibilities for environmental review, decision-making and action pertaining to the project(s) named above.
2. The responsible entity has assumed responsibility for and complied with and will continue to comply with, the National Environmental Policy Act of 1969, as amended, and the environmental procedures, permit requirements and statutory obligations of the laws cited in 24 CFR 58.5; and also agrees to comply with the authorities in 24 CFR 58.6 and applicable State and local laws.
3. After considering the type and degree of environmental effects identified by the environmental review completed for the proposed project described in Part 1 of this request, I have found that the proposal did  did not  require the preparation and dissemination of an environmental impact statement.
4. The responsible entity has disseminated and/or published in the manner prescribed by 24 CFR 58.43 and 58.55 a notice to the public in accordance with 24 CFR 58.70 and as evidenced by the attached copy (copies) or evidence of posting and mailing procedure.
5. The dates for all statutory and regulatory time periods for review, comment or other action are in compliance with procedures and requirements of 24 CFR Part 58.
6. In accordance with 24 CFR 58.71(b), the responsible entity will advise the recipient (if different from the responsible entity) of any special environmental conditions that must be adhered to in carrying out the project.

As the duly designated certifying official of the responsible entity, I also certify that:

7. I am authorized to and do consent to assume the status of Federal official under the National Environmental Policy Act of 1969 and each provision of law designated in the 24 CFR 58.5 list of NEPA-related authorities insofar as the provisions of these laws apply to the HUD responsibilities for environmental review, decision-making and action that have been assumed by the responsible entity.
8. I am authorized to and do accept, on behalf of the recipient personally, the jurisdiction of the Federal courts for the enforcement of all these responsibilities, in my capacity as certifying officer of the responsible entity.

Signature of Certifying Officer of the Responsible Entity

X

Name & Title of Certifying Officer

**Steven Bocian, Assistant City Manager**

Date signed

**July 15, 2011**

Address of Certifying Officer

**City of Pleasanton**

**123 Main St., P.O. Box 520**

**Pleasanton, CA 94566-0802**

**Part 3. To be completed when the Recipient is not the Responsible Entity**

The recipient requests the release of funds for the programs and activities identified in Part 1 and agrees to abide by the special conditions, procedures and requirements of the environmental review and to advise the responsible entity of any proposed change in the scope of the project or any change in environmental conditions in accordance with 24 CFR 58.71(b).

Signature of Authorized Officer of the Recipient

X

Title of Authorized Officer

Date signed

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)

# Request for Release of Funds and Certification

U.S. Department of Housing and Urban Development  
Office of Community Planning and Development

OMB No. 2506-0087  
(exp. 3/31/2011)

This form is to be used by Responsible Entities and Recipients (as defined in 24 CFR 58.2) when requesting the release of funds, and requesting the authority to use such funds, for HUD programs identified by statutes that provide for the assumption of the environmental review responsibility by units of general local government and States. Public reporting burden for this collection of information is estimated to average 36 minutes per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless that collection displays a valid OMB control number.

## Part 1. Program Description and Request for Release of Funds (to be completed by Responsible Entity)

1. Program Title(s) <b>Community Development Block Grant (CDBG)</b>	2. HUD/State Identification Number <b>B-10-MC-11-0050 (CDBG)</b>	3. Recipient Identification Number (optional)
4. OMB Catalog Number(s) <b>14-218</b>	5. Name and address of responsible entity <b>City of Pleasanton 123 Main Street P.O. Box 520 Pleasanton, CA 94566-0802</b>	
6. For information about this request, contact (name & phone number) <b>Scott Erickson, Housing Specialist</b>		
8. HUD or State Agency and office unit to receive request <b>Director, Office of Community Planning and Dev. (CPD) Dept. of Housing &amp; Urban Dev., Region 9 600 Harrison Street San Francisco, CA 9410-1300</b>	7. Name and address of recipient (if different than responsible entity)	
<b>The recipient(s) of assistance under the program(s) listed above requests the release of funds and removal of environmental grant conditions governing the use of the assistance for the following</b>		
9. Program Activity(ies)/Project Name(s) <b>GRID Alternatives / Solar Affordable Housing Program</b>	10. Location (Street address, city, county, State) <b>Locations to be determined all within the City of Pleasanton, Alameda County, California.</b>	

### 11. Program Activity/Project Description

The City of Pleasanton will allocate \$27,000 in CDBG funds during FY 2011 to GRID Alternatives to be used for the Solar Affordable Housing Program which will involve the installation of solar electric systems for low-income homeowners in Pleasanton. Eligible units will include single family (1-4 units per structure), owner-occupied housing units. Locations will be scattered throughout Pleasanton with special targeted marketing within the City's existing stock of price-restricted below-market rate (BMR) homes that were previously sold to low-income first-time buyers as discussed in the City's Consolidated Plan for 2010-14.

**Part 2. Environmental Certification (to be completed by responsible entity)**

**With reference to the above Program Activity(ies)/Project(s), I, the undersigned officer of the responsible entity, certify that:**

1. The responsible entity has fully carried out its responsibilities for environmental review, decision-making and action pertaining to the project(s) named above.
2. The responsible entity has assumed responsibility for and complied with and will continue to comply with, the National Environmental Policy Act of 1969, as amended, and the environmental procedures, permit requirements and statutory obligations of the laws cited in 24 CFR 58.5; and also agrees to comply with the authorities in 24 CFR 58.6 and applicable State and local laws.
3. After considering the type and degree of environmental effects identified by the environmental review completed for the proposed project described in Part 1 of this request, I have found that the proposal did  did not  require the preparation and dissemination of an environmental impact statement.
4. The responsible entity has disseminated and/or published in the manner prescribed by 24 CFR 58.43 and 58.55 a notice to the public in accordance with 24 CFR 58.70 and as evidenced by the attached copy (copies) or evidence of posting and mailing procedure.
5. The dates for all statutory and regulatory time periods for review, comment or other action are in compliance with procedures and requirements of 24 CFR Part 58.
6. In accordance with 24 CFR 58.71(b), the responsible entity will advise the recipient (if different from the responsible entity) of any special environmental conditions that must be adhered to in carrying out the project.

As the duly designated certifying official of the responsible entity, I also certify that:

7. I am authorized to and do consent to assume the status of Federal official under the National Environmental Policy Act of 1969 and each provision of law designated in the 24 CFR 58.5 list of NEPA-related authorities insofar as the provisions of these laws apply to the HUD responsibilities for environmental review, decision-making and action that have been assumed by the responsible entity.
8. I am authorized to and do accept, on behalf of the recipient personally, the jurisdiction of the Federal courts for the enforcement of all these responsibilities, in my capacity as certifying officer of the responsible entity.

Signature of Certifying Officer of the Responsible Entity

X

Name & Title of Certifying Officer

**Steven Bocian, Assistant City Manager**

Date signed

**July 15, 2011**

Address of Certifying Officer

**City of Pleasanton  
123 Main St., P.O. Box 520  
Pleasanton, CA 94566-0802**

**Part 3. To be completed when the Recipient is not the Responsible Entity**

The recipient requests the release of funds for the programs and activities identified in Part 1 and agrees to abide by the special conditions, procedures and requirements of the environmental review and to advise the responsible entity of any proposed change in the scope of the project or any change in environmental conditions in accordance with 24 CFR 58.71(b).

Signature of Authorized Officer of the Recipient

X

Title of Authorized Officer

Date signed

**Warning:** HUD will prosecute false claims and statements. Conviction may result in criminal and/or civil penalties. (18 U.S.C. 1001, 1010, 1012; 31 U.S.C. 3729, 3802)