



CITY COUNCIL AGENDA REPORT

December 17, 2019
Finance

TITLE: ADOPT A RESOLUTION MAKING THE FINDING THAT CERTAIN DEVELOPMENT IMPACT FEES (AB 1600 AND SB 1693) COLLECTED AND UNEXPENDED AFTER FIVE YEARS ARE COMMITTED TO PARTICULAR PROJECTS

SUMMARY

As required by AB 1600 and SB 1693, this annual report has been prepared showing the status of development impact fees held as of June 30, 2019. The attached resolution finds that certain development impact fees have been held for five or more years and identifies the projects and the City's commitment thereto for the City's retention of the funds. This report also contains the annual information on Water and Sewer Connection fees as required by SB 1760.

Staff provided the required public meeting notice on December 2, 2019 and made this report available to the interested parties fifteen (15) days in advance of this meeting, as required by law.

RECOMMENDATION

Adopt a resolution finding that certain development impact fees subject to AB 1600 and SB 1693 are unexpended after five years and the funds are committed to particular projects.

FINANCIAL STATEMENT

AB 1600 requires the development impact fees held by the City that meet the criteria described in this report be refunded if the findings in the attached resolution are not adopted.

BACKGROUND

Beginning in 1984, public entities were required to provide an annual accounting concerning the collection and use of all development impact fees. Effective January 1, 1989, AB 1600 (Government Code Section 66000 et seq.) established new accounting guidelines regarding the imposition and use of development impact fees. Effective January 1, 1997, SB 1693 made certain changes to AB 1600 and clarified and expanded the responsibilities of local agencies. In February 1998, SB 1760 further clarified the development impact fees that are subject to AB 1600 and excluded water and sewer connection fees from the requirements to expend these fees within five years. While not required, water and sewer connection fees are included in this report in order to provide the information on the receipt and utilization of these funds.

One of the mandated accounting guidelines, as stated in Government Code Section 66006(a), provides that a local agency shall establish separate capital facility accounts for each improvement funded by development impact fees. Any interest income earned by funds in such an account shall also be deposited in that account. Each local agency is required within 180 days after the financial year is closed (for the City this is December 31) to make available to the public the following information for each separate account: (1) the account's beginning and ending balance; (2) the amount of fees, interest, and other income in the account; (3) the amount of expenditure for each public facility or improvement made from the account; (4) the total percentage of the cost of the improvement that was funded with the fees; (5) the date construction will commence if sufficient funds have been collected; (6) a description of any interfund transfers or loans made from an account; and (7) the amount of refunds made from the account. Attachment 2 and 3 provide this information for funds held on June 30, 2017. Attachment 4 provides the Master Fee Schedule for the development impact fees that are subject to AB 1600, SB 1693 and SB 1760.

In addition, Government Code 66006 Section (b)(2) requires the report to be included on the agenda at a public meeting not less than fifteen (15) days after the information was made available to the interested parties. Staff provided the notice of public meeting to interested parties who had filed a written request with the City.

DISCUSSION

The City uses Fund Accounting to segregate development impact fees subject to AB 1600 and SB 1760 from other City funds. Interest income is allocated to each individual fund based on its own cash balance.

Funds Subject to AB 1600

The City utilizes the following funds to track development related revenues subject to AB 1600:

Capital Facilities Fund (Fund 203) – This fund is utilized to account for the *Capital Facilities fee*. Facilities projects and equipment required to service new development are funded from this fee. When a project serves both new and existing development, only the portion related to new development is charged against this fund. The other

portions of the project are funded by other sources, such as the General Fund. Fund balance, other revenues, expenditures and fund transfers for fiscal years 2014/15 through 2018/19 are detailed in Attachment 2.

Park Development Fund (Fund 222) – This fund is utilized to account for the receipt of *Park Dedication In-Lieu fees*. These fees are used to offset the cost of park acquisition. This fund also receives a portion of Capital Facilities fees to be used to offset the cost of community park development. Fund balance, revenues, expenditures and fund transfers for fiscal years 2014/15 through 2018/19 are detailed in Attachment 2.

Traffic Impact Fund (Fund 212) - This fund is utilized to account for the receipt of *Traffic Impact fees*. When a project serves both new and existing development, only the portion related to new development is charged against this fund. The other portions of the project are funded by other sources, such as gas tax revenues or the General Fund. Fund balance, revenues, expenditures and fund transfers for fiscal years 2014/15 through 2018/19 are detailed in Attachment 2.

Tri-Valley Transportation Fund (Fund 214) - This fund is utilized to account for the receipt of *Tri-Valley Transportation fees*. The City, as a member of the Tri-Valley Transportation Council (TVTC), collects a fee from developers to finance projects to reduce traffic-related impacts caused by future developments. The City forwards 80% of the receipts to the TVTC to be utilized for regional traffic projects and retains 20% for City related traffic projects. In addition, the City receives advances/reimbursements for City related work performed on specific TVTC sponsored projects. The City acts as a project sponsor for some TVTC projects. In this capacity, the City receives pass-thru funds from TVTC and remits them to the appropriate agency in charge of the project. Fund balance, revenues, expenditures and fund transfers fiscal years 2014/15 through 2018/19 are detailed in Attachment 2.

Downtown Parking-In-Lieu Fund (Fund 104) – This fund is utilized to account for the receipt of *Parking-In-Lieu fees* for the Downtown Revitalization District (District). When development within the District is not able to accommodate all of its required parking onsite, the fee is collected to purchase land and to construct public parking lots. Fund balance, revenues, expenditures and fund transfers for fiscal years 2014/15 through 2018/19 are detailed in Attachment 2.

Lower Income Housing Fund (Fund 122) – This fund is utilized to account for the receipt of *Lower Income Housing fees* and their disbursement for lower income housing projects. Fund balance, revenues, expenditures and fund transfers for fiscal years 2014/15 through 2018/19 are detailed in Attachment 2.

Unexpended Funds After Five Years

Government Code section 66001(d) requires that five years after collecting a development fee subject to AB 1600 and SB 1693, a local agency shall make findings that year and every five years thereafter, with respect to any portion of the fee remaining unexpended. The findings must identify the purpose for which the fee is to

be used and demonstrate a nexus between the fee and the purpose for which it was originally charged. The findings must also identify all sources and amounts of funding for completion of the improvement and the approximate date that the improvement will be fully funded. After five years, a local agency is also required to refund the unexpended portion of the fee, and any accrued interest, for which a need cannot be demonstrated. However, when a city makes appropriate findings (including that the purpose for the developer impact fee remains), the city may retain the fee.

Staff reviewed development impact fees collected five or more years ago and has determined that all funds held for more than five years are necessary to complete identified projects as shown below by fund.

Capital Facilities Fund (Fund 203)

Amount collected in previous fiscal years subject to 5 year limitation: **\$636,566**

Funds are committed to the following project:

- Design and Construct New Fire Station #3 project #17423
 Description: This project involves construction of a new station, fully compliant with modern codes and fire service needs on the existing Station #3 site. The building assessment determined that the total cost to remove the existing building and construct a new facility is approximately \$8.0 million. This cost includes housing the fire personnel in temporary facilities during construction, envisioned to be located in the northernmost section of the Valley Community Hospital parking lot.
 Approximate project completion date: 2022
 Project cost: \$8,035,000
 % funded by Capital Facilities Fees: 61%
 Source of funding: Capital Facilities Fees and Developer Contributions

Park Development Fund (Fund 222)

Amount collected in previous fiscal years subject to 5 year limitation: **\$0**

Funds are 100% expended as accounted for in Attachment 2.

Traffic Impact Fund (212)

Amount collected in previous fiscal years subject to 5 year limitation: **\$0**

Funds are 100% expended as accounted for in Attachment 2.

Tri-Valley Transportation Fund (214)

Amount collected in previous fiscal years subject to 5 year limitation: **\$147,809**

Funds are committed to the following project:

- Tri-Valley Transportation Development Fee Reserve project #01541
 Description: This project establishes a reserve fund for various regional projects to help mitigate traffic congestion in the Tri-Valley area. These funds represent a portion of the costs and are the City's 20% set-aside portion of the Tri-Valley Transportation Development Fees (TVTDF) collected in the City of Pleasanton. The Tri-Valley projects include the following: I-580/I-680 flyover and hook ramps, State Route 84/I-580 corridor improvements from I-580 to I-680, Isabel Route 84/I-680 Interchange, I-680 auxiliary lanes between Bollinger Canyon Road and Diablo Road, West Dublin-Pleasanton BART Station, I-580 HOV lanes from Tassajara Road to Vasco Road, I-680 HOV lanes from State Route 84 to the top

of Sunol Grade, I-680/Alcosta Boulevard Interchange Modifications, Crow Canyon Road Safety Improvements, Vasco Road Safety Improvements, and Express Bus Service. In addition to these projects, the TVTC has approved 11 additional projects which includes the El Charro Road extension in Pleasanton. Approximate project completion date: 2021
Project cost: \$1,771,651
% funded by development impact fees: 100%
Source of funding: Tri-Valley Transportation Development Fee

Downtown Parking-In-Lieu Fund (104)

Amount collected in previous fiscal years subject to 5 year limitation: **\$17,829**

Funds are committed to the following project:

- **Expand Parking on Downtown Transportation Corridor project #17448**
Description: This project will design and construct a public parking lot on the transportation corridor between Bernal Avenue and Abbie Street. The project will replace an existing stabilized gravel parking lot that has a one-way drive isle and diagonal parking. The new parking lot will be similar to the Firehouse Arts Center parking lot with a two-way drive isle, ninety-degree parking on each side, walk path and landscaping. The project will require a 5-to-6-foot retaining wall be built along the west edge of the railroad corridor, drainage improvements, stormwater treatment facilities, curb, gutter, new asphalt and striping.
Approximate project completion date: 2020
Project cost: \$2.2 million
% funded by development impact fees: 30%
Source of funding: Downtown Parking In-Lieu and General Fund CIP

Lower Income Housing Fund (Fund 122)

Amount collected in previous fiscal years subject to 5 year limitation: **\$0**

Funds are 100% expended as accounted for in Attachment 2.

Water and Sewer Expansion Funds (Funds 422 and 432) – These funds are utilized to account for Water and Sewer Connection fees. When a project serves both new and existing development, only the portion related to new development is charged against these funds. The other portions of the project are funded by other sources, such as revenue from the rate payer funded Repair and Replacement Funds (Replacement Funds). The Replacement Funds are accounted for separately (Funds 422 and 432). Fund balance, revenues, expenditures and transfers for Funds 422 and 432 for fiscal years 2014/15 through 2018/19 are detailed in Attachment 3.

The following is a summary by fund of the projects that the City is committed to complete in coming years with available Water and Sewer Connection fees:

Water Expansion Fund (422)

- Water Tank Corrosion Repairs #18127
Description: This project provides for the recoating of the steel water tanks at Ruby Hill, Moller, and Laurel Creek that have all exhibited corrosion and warrant repair. In addition, this project will make structure repairs and upgrades as necessary, and replace the cathodic protection systems of the tanks..
Approximate project completion date: 2021

Project cost: \$2,420,000

% funded by development impact fees: 29%

Source of funding: Water Connection Fees (29%); Water Replacement Funds (71%)

- Bi-Annual Water Quality Improvement #17100
Description: The purpose of this project is to improve water quality by installing appurtenances in the City's water system network which improve circulation and reduce disinfection byproducts.
Approximate project completion date: On-Going
Project cost: \$460,000
% funded by development impact fees: 76%
Source of funding: Water Connection Fees (76%); Water Replacement Funds (24%)
- Tri-Valley Potable Reuse Master Plan #18151
Description: Phase 2 of the Tri-Valley Potable Reuse fee study. Masterplan project to determine scope of potable reuse facilities.
Approximate project completion date: 2021
Project cost: \$300,000
% funded by development impact fees: 100%
Source of funding: Water Connection Fees (100%)

Sewer Expansion Fund (432)

- EALS/EARS Pump Station and Pipeline Construction – project #11231
Description: Construction of the East Amador Relieve Sewer (EARS) pump station to take flow off of the East Amador Lift Station (EALS) Pump station.
Approximate project completion date: 2022
Project cost: \$4,800,000
% funded by development impact fees: 3.4%
Source of funding: Sewer Connection Fees (3.4%); Sewer Replacement Funds (95.8%), Developer Contributions (0.8%)
- Del Valle Parkway/Nevada Street Sewer Additions – project #90228
Description: Installation of a 16" sewer main line from Stanley Blvd to Bernal Avenue.
Approximate project completion date: 2022
Project cost: \$1,222,700
% funded by development impact fees: 57.5%
Source of funding: Sewer Connection Fees (57.5%); Sewer Replacement Funds (42.5%)

Submitted by:

Approved by:

Tina Olson
Director of Finance

Nelson Fialho
City Manager

Attachments:

Attachment 1 - Resolution

Attachment 2 - Development Impact Fee Funds Subject to AB 1600

Attachment 3 - Development Impact Fee Funds Subject to SB 1760

Attachment 4 - Development Impact Fees Schedule

RESOLUTION NO.**A RESOLUTION OF THE CITY COUNCIL OF THE CITY OF PLEASANTON, FINDING THAT CERTAIN DEVELOPMENT IMPACT FEES SUBJECT TO AB 1600 and SB 1693 ARE UNEXPENDED AFTER FIVE YEARS AND THE FUNDS ARE COMMITTED TO PARTICULAR PROJECTS**

WHEREAS, Government Code Section 66001(d), effective January 1, 1989, requires the City (a) to make findings once each fiscal year with respect to any portion of a fee remaining unexpended in its account five or more years after deposit of the fee, (b) to identify the purpose to which the fee is to be put and to demonstrate a reasonable relationship between the fee and the purpose for which it is charged, (c) to identify all sources and amounts of funding anticipated to complete financing and (d) to designate the approximate date the expected funding will be deposited; and

WHEREAS, pursuant to Government Code Section 66006 (b)(2), the information was made available to the public on December 2, 2019; and a public meeting was held on December 17, 2019; and

WHEREAS, staff has reviewed the development impact fees collected from June 30, 2014 to determine if any such development fees still remain unexpended; and

WHEREAS, staff has found that development impact fees collected for this period remain unexpended and the City is committed to use these unexpended amounts for construction of capital improvements as follows:

Fees Collected Between July 1, 2014 and June 30, 2019

Capital Facility Fee	\$ 636,566
Tri-Valley Transportation Development Fee	147,809
Downtown Parking-In-Lieu	17,829
Total Fees Subject to AB1600	<u>\$ 802,204</u>

NOW, THEREFORE BE IT RESOLVED THAT THE CITY COUNCIL OF THE CITY OF PLEASANTON DOES RESOLVE, DECLARE, DETERMINE AND ORDER THE FOLLOWING:

Section 1:

- A. There are development impact fees remaining unexpended but these fees are committed to constructing improvements identified in subsection D below that will implement goals and objectives of the City's General Plan;
- B. The City's objective to mitigate the impacts caused by the development of residential, commercial, and industrial land will be assisted by the purchase of the equipment or construction of the improvements;
- C. There is a reasonable relationship between the purpose of each of the developer fees and the purpose for which it is charged and committed as stated below in subsection D.
- D. The amount of the development impact fees collected, the cash balance (including interest earnings) as of June 30, 2019 for each fee held for five years or more, the purpose the fee is committed, additional sources of funding and the expected date that funding will be available are as follows:

Capital Facilities Fund (Fund 203)

Amount collected in previous fiscal years subject to 5 year limitation: **\$636,566**

Funds are committed to the following project:

- Design and Construct New Fire Station #3 project #17423
Description: This project involves construction of a new station, fully compliant with modern codes and fire service needs on the existing Station #3 site. The building assessment determined that the total cost to remove the existing building and construct a new facility is approximately \$8.0 million. This cost includes housing the fire personnel in temporary facilities during construction, envisioned to be located in the northernmost section of the Valley Community Hospital parking lot.
Approximate project completion date: 2022
Project cost: \$8,035,000
% funded by Capital Facilities Fees: 61%
Source of funding: Capital Facilities Fees and Developer Contributions

Tri-Valley Transportation Fund (214)

Amount collected in previous fiscal years subject to 5 year limitation: **\$147,809**

Funds are committed to the following project:

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Description: This project establishes a reserve fund for various regional projects to help mitigate traffic congestion in the Tri-Valley area. These funds represent a portion of the costs and are the City's 20% set-aside portion of the Tri-Valley Transportation Development Fees (TVTDF) collected in the City of Pleasanton. The Tri-Valley projects include the following: I-580/I-680 flyover and hook ramps, State Route 84/I-580 corridor improvements from I-580 to I-680, Isabel Route 84/I-680 Interchange, I-680 auxiliary lanes between Bollinger Canyon Road and Diablo Road, West Dublin-Pleasanton BART Station, I-580 HOV lanes from

Tassajara Road to Vasco Road, I-680 HOV lanes from State Route 84 to the top of Sunol Grade, I-680/Alcosta Boulevard Interchange Modifications, Crow Canyon Road Safety Improvements, Vasco Road Safety Improvements, and Express Bus Service. In addition to these projects, the TVTC has approved 11 additional projects which includes the El Charro Road extension in Pleasanton. Approximate project completion date: 2021
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Approximate project completion date: 2020
Project cost: \$2.2 million
% funded by development impact fees: 30%
Source of funding: Downtown Parking In-Lieu and General Fund CIP

Section 2: City Clerk shall certify to the passage of this resolution and enter it into the book of original resolutions.

PASSED, APPROVED AND ADOPTED by the City Council of the City of Pleasanton at a regular meeting held on the 17th day of December, 2019.

I, Karen Diaz, City Clerk of the City of Pleasanton, California, certify that the foregoing resolution was adopted by the City Council at a regular meeting by the following vote:

- Ayes:
- Noes:
- Absent:
- Abstain:

Karen Diaz, City Clerk

APPROVED AS TO FORM:

Daniel Sodergren, City Attorney

**Development Impact Fees Subject to AB1600
Capital Facilities Fund 203**

Attachment 2

Fund Balance, Fees Collected, Interest Earned, Transfers and Project Expenditures - Five Year History

	<u>2014/15</u>	<u>2015/16</u>	<u>2016/17</u>	<u>2017/18</u>	<u>2018/19</u>
BEGINNING FUND BALANCE	\$ 3,446,669	\$ 5,231,965	\$ 5,495,958	\$ 6,349,309	\$ 5,858,408
REVENUE					
Capital Facilities Fees	1,753,764	570,469	2,136,915	525,531	537,080
Interest (includes GASB31 interest adjustment)	33,747	60,719	30,560	48,680	208,393
TOTAL REVENUE	<u>1,787,511</u>	<u>631,188</u>	<u>2,167,475</u>	<u>574,211</u>	<u>745,473</u>
TRANSERS					
Transfers Out*	-	(200,000)	-	(1,054,000)	-
TOTAL TRANSFERS	<u>-</u>	<u>(200,000)</u>	<u>-</u>	<u>(1,054,000)</u>	<u>-</u>
EXPENDITURES					
New City Hall Site Land Acquisition #98429			1,245,145		
Animal Shelter Leases #94451	2,215	7,304	16,532	11,112	15,993
City Parking Lot Maint and Rehabilitation #13434		113,408	28,251		
LFPD Fire Stations 2 and 3 - Assessment Study #15442		39,200			
LFPD Fire Stations 3 - Design #17423					5,000
LFPD Fire Stations 4 Repair #11429					40,464
Police Department - Parking Lot Redesign and Const #15444			24,196		
Refund of Permit Fees #99999		7,283			
TOTAL EXPENDITURES	<u>2,215</u>	<u>167,195</u>	<u>1,314,124</u>	<u>11,112</u>	<u>61,457</u>
ENDING FUND BALANCE	<u>\$ 5,231,965</u>	<u>\$ 5,495,958</u>	<u>\$ 6,349,309</u>	<u>\$ 5,858,408</u>	<u>\$ 6,542,424</u>

* Transfers Out to Fund 222 Neighborhood Park Development Fund for Parks share of Public Facilities Fees (\$200,000 in 2015/16) and Fund 221 Park for Dolores Bengtson Aquatic Center Facility and Locker Room Renovation project 17706 (\$1,054,000 in 2017/18).

Unexpended Fees Subject to AB1600

\$ 3,446,669	Beginning Fund Balance, 7/1/14
(1,254,000)	Transfers Out*
(1,556,103)	Total Expenditures
<u>\$ 636,566</u>	Unexpended Fees Subject to AB1600 at 6/30/19

Development Impact Fees Subject to AB1600
Park Development Fund 222
Fund Balance, Fees Collected, Interest Earned, Transfers and Project Expenditures - Five Year History

	2014/15	2015/16	2016/17	2017/18	2018/19
BEGINNING FUND BALANCE	\$ 5,638,787	\$ 8,628,906	\$ 8,596,731	\$ 10,967,409	\$ 12,397,242
REVENUE					
Park Dedication In Lieu Fees	4,224,072	1,673,387	3,857,696	2,426,234	680,378
Interest (includes GASB31 interest adjustment)	62,157	89,336	51,718	81,737	374,021
TOTAL REVENUE	<u>4,286,229</u>	<u>1,762,723</u>	<u>3,909,414</u>	<u>2,507,971</u>	<u>1,054,399</u>
TRANSFERS					
Transfers In*	-	200,000	100,000	16,200	545,855
Transfers Out**	(152,214)				
TOTAL TRANSFERS	<u>(152,214)</u>	<u>200,000</u>	<u>100,000</u>	<u>16,200</u>	<u>545,855</u>
EXPENDITURES					
New Community Park Site Acquisition- #03728	5,838				
Bernal Property Improvements - #01745	1,138,058	1,805,971	659,884		
Lions Wayside and Delucchi Parks #06716		3,363			2,051,462
Arroyo Mocho Trail Bicycle and Pedestrian Impr #11543		17,500			
Pleasanton Tennis & Comm Park Expansion #14731			91,796	941,108	
Bernal Property - Off-Leash Dog Park #14734		134,406	483,194		
Pleasanton Pioneer Cemetery Imp and Veterans Memorial #15408		32,163	393,500		
Bernal Property - Community Farm Master Plan #15735		1,495	10,260	60,140	2,358
Trails Master Plan #16734			102	83,090	11,722
Senior Center Facility Expansion Design #17750				10,000	54,200
Century House Facility Assessment #17753					43,500
Bocce Ball Courts #16725					14,556
Refund of Permit Fees #99999					9,707
TOTAL EXPENDITURES	<u>1,143,896</u>	<u>1,994,898</u>	<u>1,638,736</u>	<u>1,094,338</u>	<u>2,187,505</u>
ENDING FUND BALANCE	<u>\$ 8,628,906</u>	<u>\$ 8,596,731</u>	<u>\$ 10,967,409</u>	<u>\$ 12,397,242</u>	<u>\$ 11,809,991</u>

*Transfers In from Fund 203 Capital Facilities Fund - Parks share of Public Facilities Fees,
Fund 201 Miscellaneous Capital Improvement Fund for Park related Capital Improvement Projects (\$545,855),
& Fund 122 Lower Income Housing Fund for Kottinger Park Emergency & Maint Access Path (p16726)

**Transfers Out to Fund 201 Miscellaneous Capital Improvement Fund for Park related Capital Improvement Reserve

Unexpended Fees Subject to AB1600

\$ 5,638,787	Beginning Fund Balance, 7/1/14
(152,214)	Transfers Out*
(8,059,373)	Total Expenditures
<u>\$ (2,572,800)</u>	All Fees Subject to AB1600 Have Been Spent as of 6/30/19

Development Impact Fees Subject to AB1600
Traffic Impact Fund 212
Fund Balance, Fees Collected, Interest Earned, Transfers and Project Expenditures - Five Year History

	<u>2014/15</u>	<u>2015/16</u>	<u>2016/17</u>	<u>2017/18</u>	<u>2018/19</u>
BEGINNING FUND BALANCE	\$ 2,832,254	\$ 3,391,774	\$ 6,144,567	\$ 8,059,965	\$ 8,603,106
REVENUE					
Traffic Impact Fees	1,555,619	3,313,497	2,697,407	857,042	1,240,533
Interest (includes GASB31 interest adjustment) & Others	26,107	60,961	39,200	112,303	301,459
TOTAL REVENUE	<u>1,581,726</u>	<u>3,374,458</u>	<u>2,736,607</u>	<u>969,345</u>	<u>1,541,992</u>
TRANSFERS					
Transfers In*	-	-	-	-	396,743
TOTAL TRANSFERS	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>396,743</u>
EXPENDITURES					
Old Stanley Blvd. Imp: Main Street to First Street #05522		196,772	239,398		
I-580 at Foothill Road Improvements - #09534	1,004,771	21,947			
Intersection Improvements #10527, #11541, #15541, #17541	17,435	23,362	17,272	20,216	55,165
Hopyard Road and Owens Drive Intersection Impr #15525			34,954	111,902	57,572
Traffic Signals Installation at Amador Valley High School #15550		272,455	339,916		
I-680 Sunol Boulevard Improvement #15551				42,303	334,908
Traffic Model Update #15554		27,791	189,669	23,034	11,515
Right of Way Traffic to Caltrans #16506				57,829	2,850
Signal Detection Upgrade #17568				149,126	
Bi-Annual Traffic Signal Installation #17532				21,794	8,406
Refund of Permit Fees - #99999		79,338			2,556
TOTAL EXPENDITURES	<u>1,022,206</u>	<u>621,665</u>	<u>821,209</u>	<u>426,204</u>	<u>472,972</u>
ENDING FUND BALANCE	<u>\$ 3,391,774</u>	<u>\$ 6,144,567</u>	<u>\$ 8,059,965</u>	<u>\$ 8,603,106</u>	<u>\$ 10,068,869</u>

*Transfers In from Fund 201 Miscellaneous Capital Improvement Fund for various traffic related Capital Improvement Projects (\$360,553) and from Fund 001 for the local match of Intersection Improvements project 17541 (\$36,190)

Unexpended Fees Subject to AB1600	
\$ 2,832,254	Beginning Fund Balance, 7/1/14
<u>(3,364,256)</u>	Total Expenditures
<u>\$ (532,002)</u>	All Fees Subject to AB1600 Have Been Spent as of 6/30/19

Development Impact Fees Subject to AB1600

Tri-Valley Transportation Fund 214

Fund Balance, Fees Collected, Interest Earned, Transfers and Project Expenditures - Five Year History

	<u>2014/15</u>	<u>2015/16</u>	<u>2016/17</u>	<u>2017/18</u>	<u>2018/19</u>
BEGINNING FUND BALANCE	\$ 433,994	\$ 528,181	\$ 875,923	\$ 1,559,421	\$ 1,621,640
REVENUE					
Tri-Valley Transportation Fees	366,934	339,760	688,539	52,226	241,513
Interest (includes GASB31 interest adjustment)	3,706	7,982	4,691	9,993	56,166
TOTAL REVENUE	<u>370,640</u>	<u>347,742</u>	<u>693,230</u>	<u>62,219</u>	<u>297,679</u>
EXPENDITURES					
*I-580 @ Foothill Road Improvements - #09534	276,453				
*Refund of Permit Fees - #99999			9,732		
TOTAL EXPENDITURES	<u>276,453</u>	<u>-</u>	<u>9,732</u>	<u>-</u>	<u>-</u>
ENDING FUND BALANCE	<u>\$ 528,181</u>	<u>\$ 875,923</u>	<u>\$ 1,559,421</u>	<u>\$ 1,621,640</u>	<u>\$ 1,919,319</u>

Unexpended Fees Subject to AB1600

\$ 433,994	Beginning Fund Balance, 7/1/14
(276,453)	*I-580 @ Foothill Road Improvements - #095034
(9,732)	*Refund of Permit Fees
<u>\$ 147,809</u>	Unexpended Fees Subject to AB1600 at 6/30/19

* Expenditures related to AB1600 fees

Development Impact Fees Subject to AB1600
Downtown Parking-In-Lieu Fund 104
Fund Balance, Fees Collected, Interest Earned, Transfers and Project Expenditures - Five Year History

	<u>2014/15</u>	<u>2015/16</u>	<u>2016/17</u>	<u>2017/18</u>	<u>2018/19</u>
BEGINNING FUND BALANCE	\$ 17,829	\$ 100,152	\$ 488,152	\$ 582,460	\$ 658,873
REVENUE					
Parking-In-Lieu Fees	14,500	14,500	90,971	71,853	14,500
Interest (includes GASB31 interest adjustment)	323	1,229	3,337	4,560	22,070
TOTAL REVENUE	<u>14,823</u>	<u>15,729</u>	<u>94,308</u>	<u>76,413</u>	<u>36,570</u>
TRANSFERS					
Transfers In*	67,500	372,271	-	-	-
TOTAL TRANSFERS	<u>67,500</u>	<u>372,271</u>	<u>-</u>	<u>-</u>	<u>-</u>
EXPENDITURES					
TOTAL EXPENDITURES	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>	<u>-</u>
ENDING FUND BALANCE	<u>\$ 100,152</u>	<u>\$ 488,152</u>	<u>\$ 582,460</u>	<u>\$ 658,873</u>	<u>\$ 695,443</u>

*Transfers In from F001 General Fund (\$67,500) & F201 Misc General CIP Fund (\$372,271) for Downtown Parking-In-Lieu Fees

Unexpended Fees Subject to AB1600

\$ 17,829	Beginning Fund Balance, 7/1/14
-	Total Expenditures
<u>\$ 17,829</u>	Unexpended Fees Subject to AB1600 at 6/30/19

Development Impact Fees Subject to AB1600
Lower Income Housing Fund 122
Fund Balance, Fees Collected, Interest Earned, Transfers and Project Expenditures - Five Year History

	<u>2014/15</u>	<u>2015/16</u>	<u>2016/17</u>	<u>2017/18</u>	<u>2018/19</u>
BEGINNING FUND BALANCE	\$ 16,495,305	\$ 17,156,813	\$ 14,018,707	\$ 11,629,837	\$ 9,481,422
REVENUE					
Lower Income Housing Fees	631,680	1,392,940	6,374,939	417,000	1,683,397
Loan Proceeds	110,161	105,028	120,098	84,614	80,983
Miscellaneous Revenues	604,729	695,850	1,773,226	973,455	1,119,612
Interest (includes GASB31 interest adjustment)	130,332	166,835	67,080	92,258	293,855
TOTAL REVENUE	<u>1,476,902</u>	<u>2,360,653</u>	<u>8,335,343</u>	<u>1,567,327</u>	<u>3,177,847</u>
TRANSFERS					
Transfers Out*	-	-	(3,558,579)		
TOTAL TRANSFERS	<u>\$ -</u>	<u>\$ -</u>	<u>\$ (3,558,579)</u>	<u>\$ -</u>	<u>\$ -</u>
EXPENDITURES					
Kottinger / Pleasanton Gardens #14905	109,993	4,421,984	6,346,592	2,735,785	
Housing Related Parks (HRP) Program Grant #16806			250,711	80,189	
Housing Rehabilitation Program #17903			10,295	32,963	48,542
Sunflower Hill Project #17904				7,238	
Sunflower Hill Special Needs Housing #17913				187,636	2,071,543
City Down Payment Assistance - #14918	21	4,042	20,000	13,000	
Echo Housing - #11853	37,013	55,054	83,017	89,442	64,153
Housing Rehab #11858		2,831	333	615	568
Tri-Valley Housing Opportunity - #808061	18,750				
Tri-Valley Reach - #11866					12,000
Housing Case Mgmt Scholarships - #11867	15,775	18,423	34,828	94,896	45,903
Comm Resources-Independent Living -#11870	14,500	14,314	15,617		15,000
1st Time Home Buyer Program #16927		14,875	15,640	64,275	45,650
Housing Loan Expense	34,000				29,590
Overhead - Administrative Expense - #99999	293,486	301,149	310,964	371,900	365,023
Purchase of Property - #99999	239,025	600,797			
Miscellaneous Housing Expenditures - #99999	52,831	65,290	77,637	37,803	61,021
TOTAL EXPENDITURES	<u>815,394</u>	<u>5,498,759</u>	<u>7,165,634</u>	<u>3,715,742</u>	<u>2,758,993</u>
ENDING FUND BALANCE	<u>\$ 17,156,813</u>	<u>\$ 14,018,707</u>	<u>\$ 11,629,837</u>	<u>\$ 9,481,422</u>	<u>\$ 9,900,276</u>

*Transfers Out to Fund 201 Miscellaneous Capital Improvement Fund for Park related Capital Improvement Reserve (\$3,458,579)
& Fund 222 Neighborhood Park Development Fund for the project 16726 Kottinger Park Emergency & Maint Access Path (\$100,000)

Unexpended Fees Subject to AB1600

\$ 16,495,305	Beginning Fund Balance, 7/1/14
(3,558,579)	Transfers Out*
(19,954,522)	Total Expenditures related to AB1600 Fees
<u>\$ (7,017,796)</u>	All Fees Subject to AB1600 Have Been Spent as of 6/30/19

**Water Connection Fees Subject to SB1760
Water Expansion Fund 422**

Attachment 3

Fund Balance, Fees Collected, Interest Earned, Transfers and Project Expenditures - Five Year History

	2014/15	2015/16	2016/17	2017/18	2018/19
BEGINNING FUND BALANCE	\$ 3,010,243	\$ 3,625,460	\$ 4,020,768	\$ 4,350,411	\$ 4,146,049
REVENUE					
Water Connection Fees	589,851	380,800	308,450	277,200	117,000
Interest (includes GASB31 interest adjustment)	25,366	42,409	21,193	33,937	134,216
TOTAL REVENUE	<u>615,217</u>	<u>423,209</u>	<u>329,643</u>	<u>311,137</u>	<u>251,216</u>
EXPENDITURES					
Pimlico Drive Turnout/Water Line - #011012					
Ruby Hill - Additional Water Storage #15146				25,696	8,168
Water Master Plan Update #15147		18,765			
Water Pump and Motor Upsizing #16108				253,530	
Bi-Annual Water Quality Improvement Project #17100					46,658
Bi-Annual Hydrant Lateral Project #17135				3,752	139,835
Committee Valley Water Retailers - #802011					
El Charro Specific Plan Infrastructure Contribution - #80814					
Del Valle Parkway Water Main Extension - #94115					
Write-off of Uncollectible Accounts Receivables - #999999					
Reimbursable Project #99999				55,830	175,982
Overhead Charge for Inspection/Engineering - #99999		9,136		176,691	45,343
TOTAL EXPENDITURES	<u>-</u>	<u>27,901</u>	<u>-</u>	<u>515,499</u>	<u>415,986</u>
ENDING FUND BALANCE	<u>\$ 3,625,460</u>	<u>\$ 4,020,768</u>	<u>\$ 4,350,411</u>	<u>\$ 4,146,049</u>	<u>\$ 3,981,279</u>

Sewer Connection Fees Subject to SB1760
Sewer Expansion Fund 432
Fund Balance, Fees Collected, Interest Earned, Transfers and Project Expenditures - Five Year History

	<u>2014/15</u>	<u>2015/16</u>	<u>2016/17</u>	<u>2017/18</u>	<u>2018/19</u>
BEGINNING FUND BALANCE	\$ 2,166,592	\$ 2,423,143	\$ 2,592,326	\$ 2,859,480	\$ 2,741,692
REVENUE					
Sewer Connection Fees	238,599	151,760	253,200	110,449	72,385
Interest (includes GASB31 interest adjustment)	17,952	27,521	13,954	21,920	91,744
TOTAL REVENUE	<u>256,551</u>	<u>179,281</u>	<u>267,154</u>	<u>132,369</u>	<u>164,129</u>
EXPENDITURES					
Meadowlark Sewer Siphon #13226				200,000	
Refund - #99999		2,077			
Overhead Charge for Inspection/Engineering - #99999		8,021		50,157	
TOTAL EXPENDITURES	<u>-</u>	<u>10,098</u>	<u>-</u>	<u>250,157</u>	<u>-</u>
ENDING FUND BALANCE	<u>\$ 2,423,143</u>	<u>\$ 2,592,326</u>	<u>\$ 2,859,480</u>	<u>\$ 2,741,692</u>	<u>\$ 2,905,821</u>

CITY OF PLEASANTON

Attachment 4

DEVELOPMENT IMPACT AND CONNECTION FEES

A. Capital Facilities Fee

(due at the time building permit is issued)

<ul style="list-style-type: none"> - Applicable to all new construction and non-residential additions exceeding 200 sq ft. - Subject to an annual inflation adjustment on January 1 of each year based upon the Engineering News Record Construction Cost Index for the San Francisco Bay Area 		New Fees
Residential <i>(per dwelling unit)</i>	Single-family detached	\$16,029.00
	Single-family attached (Townhouse)	\$11,421.00
	Multi-family (Apartment, Condominium)	\$11,421.00
	Second Unit (In-law, Aupair, etc.)	\$11,421.00
Non-Residential <i>(per square foot)</i>	Office	\$2.32
	Research & Development	\$1.86
	Light Manufacturing	\$0.74
	Service/Commercial	\$1.69
	Warehouse	\$0.74
	Retail	\$1.69
	Restaurant	\$1.69
	Hotel/Motel (per Room)	\$918.00

B. Affordable Housing Fee

(due at the time building permit is issued)

<ul style="list-style-type: none"> - Applicable to all new construction and non-residential additions exceeding 200 sq ft. - Subject to an annual inflation adjustment on January 1 of each year based upon the Consumer Price Index for the San Francisco/Oakland region. 		
Residential <i>(per dwelling unit)</i>	Single-family detached (over 1,500 sq ft)	\$44,248.00
	Single-family detached (1,500 sq ft or less)	\$43,293.00
	Multi-family (Apartment, Condominium)	\$43,293.00
Non-Residential <i>(per square foot)</i>	Retail	\$4.56
	Hotel/Motel	\$3.15
	Office	\$7.61
	Industrial	\$12.64

C. Transportation Development Fee

(due at the time building permit is issued)

<ul style="list-style-type: none"> - Applicable to all new construction and non-residential additions exceeding 200 sq ft. - Subject to an annual inflation adjustment on January 1 of each year based upon the Engineering News Record Construction Cost Index for the San Francisco Bay Area. 		New Fees
Residential <i>(per dwelling unit)</i>	Single-family detached	\$9,112.00
	Single-family attached (Townhouse)	\$9,112.00
	Multi-family (Apartment, Condominium)	\$5,602.00
	Second Unit (In-law, Aupair, etc.)	\$5,602.00
Non-Residential <i>(per square foot)</i>	Office	\$14.22
	Commercial/Retail	\$20.90
	Industrial	\$8.61
	Research & Development	\$10.71
	Hotel/Motel (per Room)	\$6,008.00

D. Tri-Valley Transportation Development Fee

(due at the time building permit is issued)

<ul style="list-style-type: none"> - Fee collected for Tri-Valley Development Council to fund traffic mitigation improvements in the Tri-Valley Development Area - Subject to an annual inflation adjustment (by the TVTC) on March 1 of each year based upon the Engineering News Record Construction Cost Index for the San Francisco Bay Area 		
Residential (per dwelling unit)	Single-family detached	\$4,457.63
	Single-family attached (Townhouse)	\$4,457.63
	Multi-family (Apartment, Condominium)	\$3,070.59
	Second Unit (In-law, Aupair, etc.)	\$0.00
	Affordable Housing	
Non-residential	Office, per square foot of gross floor area	\$7.58
	Retail, per square foot of gross floor area	\$3.41
	Industrial, per square foot of gross floor area	\$4.41
	Other Uses, per average am/pm peak hour trip	\$4,953.00

E. Downtown Revitalization District Parking In-Lieu Fee

<ul style="list-style-type: none"> - When development within the Downtown Revitalization District is not able to accommodate all of its required parking onsite the following fee will be collected by the City to purchase land for and to construct public parking lots. - This fee subject to annual inflation adjustment on April 1 of any year in which the fee has not been recalculated, based on the annual change in Engineering News Record Construction Cost Index. 	
per parking space	\$20,594.03

F. Water Connection Fees - City

For each single family house, or any other use that is determined by the City Engineer or the Engineer's duly appointed representative to have a water demand equivalent to that of a single-family house, for normal domestic-type water uses only, there shall be a connection fee charged for each service connection as indicated in the following table. This shall be the minimum charge for all uses. For all other uses, for each service connection, a charge shall be made as established in the following table depending on the size of the meter required for that service connection as determined by the City Engineer or his duly appointed representative.

Amounts at right DO NOT include water meter installation fees, which are revised periodically based on current costs for labor & materials as authorized in Section B "Water Meter & Installation Fees".	Meter Size	N. Pleasanton Improvement	All Other Service Connections
	5/8 inch (displacement type)	\$ 460.00	\$ 1,200.00
	3/4 inch (displacement type)	\$ 690.00	\$ 1,800.00
	1 inch (displacement type)	\$ 1,150.00	\$ 3,000.00
	1.5 inch (displacement type)	\$ 2,300.00	\$ 6,000.00
	2" (displacement type)	\$ 3,680.00	\$ 9,600.00
	2" Mueller MVR	\$ 5,290.00	\$ 13,800.00
	2" (OMNI C2)	\$ 7,360.00	\$ 19,200.00
	3 inch (OMNI C2)	\$ 8,050.00	\$ 21,000.00
	4 inch (OMNI C2)	\$ 23,000.00	\$ 60,000.00
	6 inch (OMNI C2)	\$ 46,000.00	\$ 120,000.00
	8 inch (OMNI C2)	\$ 80,500.00	\$ 210,000.00
10 inch (OMNI C2)	\$ 126,500.00	\$ 330,000.00	

For any service connection larger than listed above, the City Engineer or his duly appointed representative will determine the charge; or a battery of meters may be used at the City Engineer's option.

Any change in use or addition requiring greater capacity will require the payment of additional fees at the rates established herein as a condition of continued service.

G. Recycled Water Connection Fees

Recycled water connection fees shall adjust annually beginning 1 January 2017 by the change in the Engineering News Record (ENR) Construction Cost Index.	Meter Size	Meter Equivalent	Recycled Water Connection Fee
	5/8 inch	1.00	\$ 14,889
	3/4 inch	1.50	\$ 22,334
	1 inch	2.50	\$ 37,227
	1.5 inch (displacement type)	5.00	\$ 74,447
	1.5 inch (OMNI C2)	16.00	\$ 238,230
	1.5 inch (OMNI T2)	16.00	\$ 238,230
	2" (displacement type)	8.00	\$ 119,115
	2" (OMNI C2)	16.00	\$ 238,230
2" (OMNI T2) (1)	20.00	\$ 297,788	

H. Local and Regional (DSRSD) Sewer Connection Fees

		Pleasanton Local Fee	Regional-DSRSD ⁽²⁾ effective 7/1/19
RESIDENTIAL			
Single Family Dwelling Unit	<i>per house</i>	\$500.00	\$13,371.00
Auxiliary (Secondary) Dwelling Unit	<i>per Square</i>	\$2.00	\$8.56
Townhome, Townhouse, Duet, Duplex	<i>per unit</i>	\$500.00	\$13,371.00
Condominium	<i>per unit</i>	\$375.00	\$10,028.00
Apartment, Mobile Home	<i>per unit</i>	\$330.00	\$8,824.00
REGULAR (low strength wastewater)			
Auditoriums	<i>per seat</i>	\$4.55	\$140.90
Auto body shops/ Auto dealers	<i>per square</i>	\$0.25	\$7.75
Banks, Financial Offices	<i>per square</i>	\$0.14	\$4.23
Barber shops/ Beauty shops	<i>per square</i>	\$0.68	\$21.14
Bars, Cocktail lounges, taverns (w/o dining)	<i>per square</i>	\$0.80	\$24.66
Bowling alleys	<i>per square</i>	\$0.70	\$21.84
Car washes	<i>per square</i>	\$3.86	\$119.77
Churches	<i>per seat</i>	\$11.36	\$352.26
Delicatessen	<i>per square</i>	\$1.61	\$50.02
Dental Clinic	<i>per square</i>	\$2.05	\$9.86
Dry Cleaners	<i>per square</i>	\$1.02	\$31.70
Gas Stations	<i>per square</i>	\$1.07	\$33.11
General Retail/ Commercial	<i>per square</i>	\$0.11	\$3.52
Gyms, Health Clubs	<i>per square</i>	\$0.95	\$29.59
Hospital	<i>per square</i>	\$568.18	\$17,613.04
Hotels, Motels (no dining facilities)	<i>per square</i>	\$295.45	\$9,158.78
Institutional (Resident)	<i>per bed</i>	\$227.27	\$7,045.22
Laundries, coin-operated	<i>per room</i>	\$295.45	\$9,158.78
Laundries, full service (commercial)	<i>per bed</i>	\$2.27	\$70.45
Market - Dry Goods	<i>per machine</i>	\$0.43	\$13.39
Medical Clinic	<i>per square</i>	\$0.84	\$26.07
Medical/ Dental Complex	<i>per square</i>	\$0.50	\$15.50
Office Buildings	<i>per square</i>	\$0.11	\$3.52
Parks/ Rec	<i>per square foot</i>		\$4,931.65
Country Club		\$159.09	\$4,931.65
Picnic Park	<i>per person</i>	\$22.73	\$704.52
Pool	<i>per person</i>	\$22.73	\$704.52
Tennis Courts, w/ toilet & shower	<i>per person</i>	\$227.27	\$7,045.22

H. Local and Regional (DSRSD) Sewer Connection Fees (Continued)

REGULAR (low strength wastewater)			
Plant Nursery	<i>per court</i>	\$0.18	\$5.64
Printers	<i>per square</i>	\$0.32	\$9.86
Public Agencies	<i>per square</i>	\$0.11	\$3.52
Recreational vehicle R.V. Park	<i>per square</i>	\$272.72	\$8,454.26
Schools (excluding cafeteria)	<i>per RV</i>		
	W/o showers	\$34.09	\$1,056.78
	With showers	<i>per student</i>	\$1,409.04
Theaters	<i>per student</i>	\$4.55	\$140.90
Veterinary Hospital	<i>per seat</i>	\$0.77	\$23.95
Warehouse/distribution	<i>per square</i>	\$0.07	\$2.11
REGULAR (medium strength wastewater)			
Banquet Facilities - intermittent use	<i>per square</i>	\$0.61	\$20.85
Business with cafeteria	<i>per square</i>	\$1.36	\$44.55
Cafeteria	<i>per square</i>	\$1.23	\$41.71
Hotels/Motels with dining facilities	<i>per square</i>	\$1.36	\$44.55
Restaurant, Fast Food	<i>per square</i>	\$1.36	\$44.55
Restaurant, Full Service	<i>per square</i>	\$1.23	\$41.71
School with cafeteria	<i>per square</i>	\$1.36	\$44.55
REGULAR (high strength wastewater)			
Bakeries/ Donut Shops/ Ice Cream Shops	<i>per square</i>	\$1.41	\$51.55
Car washes w/ steam cleaning	<i>per square</i>	\$0.91	\$152.42
Markets with garbage disposals	<i>per square</i>	\$3.86	\$15.56
Mortuaries	<i>per square</i>	\$0.43	\$7.37
INDUSTRIAL (other):			
<i>All other non-residential users or special use (users with above-normal discharge of</i>			
	<i>gallons</i>		
Wastewater Flow	<i>per day</i>	\$2.27	\$56.68
	<i>lbs per</i>		
BOD (Biochemical Oxygen Demand)	<i>day</i>	n/a	\$1,370.26
SS (Suspended Solids)	<i>lbs per</i>		
	<i>day</i>	n/a	\$721.04